| Bargen Ddinesig BAR ABERTAWE SWANSEA BAY City Deal                              | Swansea Bay City Deal Portfolio Level<br>Integrated Assurance and Approval Pl | an V17.0   |                  |     |     |     |                    |          |     |          |              |     |                    |    |                               |     |            |     |     |    |               |              |     | _   |     |   |
|---|---|--|------------------|-----|-----|-----|--------------------|----------|-----|----------|--------------|-----|--------------------|----|-------------------------------|-----|------------|-----|-----|----|---------------|--------------|-----|-----|-----|---|
| Assurance / approval / Reporting  | Activity/Product  | Primary client                                   | 2019/<br>IS<br>W | Apr | Jun | ηnς | 2020/20<br>5n de S | 021<br>0 | Nov | Jan      | Mar          | Apr | Jun                | ρſ | 2021/2022<br>By Sy O N        | Dec | Feb<br>Mar | Apr | Jun | PΓ | 2022/<br>Se 8 | 2023<br>B O  | Nov | Jan | Feb | Comments 8  |
| Governance<br>Portfolio Level   |   |  |                  |     |     |     |                    |          |     |          |              |     |                    |    |                               |     |            |     |     |    |               |              |     |     |     |   |
| Meetings  | T   |  | _                |     |     |     | _                  |          |     |          |              |     |                    |    |                               |     |            | _   |     |    | _             |              | _   | _   |     | ı   |
| Joint Committee   | Meetings  | SRO, JC, PoMO                                    | x                | Ш   | x   | x   | x                  | Ш        | x x | x        | x x          | x > | ×                  | x  | x x x                         | x x | x x        | x : | x x | x  | 3             | ×            | x > | ×   | x x | Monthly meeting. August recess.   |
| Programme Board  Economic Strategy Board  | Meetings<br>Meetings  | SRO, PB, PoMO<br>SRO, ESB, PoMO                  | ×                | ,   | ×   | x   | x x                | ¥        | x x | x        | x x          | x > | ×                  | x  | x x x x                       | x x | xx         | x : | x x | x  | x :           | x x          | x > |     | x x | Monthly meeting.  Monthly meeting   |
| Joint Scrutiny Committee  | Meetings  | SRO, JSC, PoMO                                   | ×                | П   |     | x   |                    | x        | x   | Ĥ        | x            | x   | x                  |    | x x                           | x   | x          | x   | ×   | ĥ  | x             | x            | ,   |     | x   | Meetings every two months.  |
| Regional S151 officers  | Meetings  | AB, PoMO<br>SRO, PoMO, WG, UKG                   | 1                |     | x   | x   | x<br>x x           |          | x x |          | x x          | x > | x                  |    | ×                             | x   | x          |     | ×   |    |               |              | ,   |     | ×   | Quarterly meeting Monthly meetings  |
| WG/UKG/PMO briefing<br>SBCD/WG PMO briefing<br>PoMO Team                        | Meetings Meetings Meetings  | SRO, PoMO, WG, DRG<br>SRO, PoMO, WG<br>SRO, PoMO | 1                | x 3 | x x | x   | x x                | X        | x x | x        | x x          | x 3 | ×                  | X  | x x x x                       | x x | xx         | x   | x x | x  | X 2           | X            | x > | ×   | x x | Weekly meeting Weekly meeting   |
| PoMO/Project Leads  | Meetings  | PoMO, Project Leads<br>PoMO, Prog / Proj Leads   |                  | Ĥ   | ×   | x   | x x                | x        | x x | x        | x x          | x > | ×                  | x  | x x x x                       | x x | x x        | x : | x x | x  | x :           | c x          | x x | K X | x x | Fortnightly meetings  |
| Procurement Workshops with Prog / Proj Leads  WLGA Regional City Deal Directors | Meetings<br>Meetings  | SRO, WLGA, WG                                    |                  | x   | ×   | Ш   | ×                  |          | ×   | x        |              | ×   | ×                  | _  | x x                           | v   | v          | x   | ×   | Н  |               | ,            |     |     | v   | Meetings with all Prog / Proj Leads held  Meetings every two months   |
| Key Documentation Review  | Imagings  | oro, recor, rec                                  |                  |     | 1   |     | _                  |          | -   |          |              |     | 1                  | _  |                               |     |            | _   | Ť   |    |               | -            | Ť   | +   | -   | ,   |
| Intregrated Assurance & Approval Plan   | Live document. Part of Quarterly Report                                       | SRO, PB, PoMO, JC                                |                  |     | x   |     |                    | x        |     | x        |              | ×   |                    | x  | ×                             | x   |            | ×   |     | x  |               | x            |     | ×   |     | June 2020 approval at JC / Approval every 6<br>months. Submitted as part of the Quarterly<br>Monitoring Report to PB and JC<br>AUR recommendations complete. Portfolio BC                         |
| Portfolio Business Case   | Live document   | SRO, PB, PoMO, JC                                |                  | H   | ×   | x   | ×                  | x        | +   | x        | x            |     |                    |    |                               |     | x          |     |     |    |               |              | +   |     | ×   | Approval gained from JC March 2021. Awaiting approval from UK / WG  |
| AOR Report  | Live document. Part of Quarterly Report                                       | SRO, PB, PoMO, JC,<br>WCGIB                      |                  |     |     |     |                    |          | x x | x        | x x          |     |                    |    | ×                             | x x | x x        |     |     |    |               |              | x > | ×   | ××  | Recommendations from Audit  |
| Implementation Plan   | Live document   | SRO, PoMO, PB, JC, JSC                           |                  | Ц   | x   | x   | $\downarrow$       | Ц        |     | Ш        | Ц            | Ш   | $\perp \downarrow$ | 1  | $\perp \downarrow \downarrow$ |     | Ш          | 1   |     | Ш  | 4             | Ц            | 4   |     | Ш   | July 2020 approval at JC. Quarterly Monitoring<br>Report replaces the Implementation Plan   |
| Portfolio Issues Log  | Live document   | SRO, PoMO, PB, JC, JSC                           | ×                | Ц   | x   | x   | 1                  | ×        |     | x        | Щ            | x   |                    | ×  | x                             | x   |            | x   |     | ×  | _             | x            | 4   | ×   | Ш   | Updated on a quarterly basis in line with Portfolio<br>Risk Register and Covid Impact Assessment<br>Quarterly to JC / PB JSC in line with Issues Log.   |
| Portfolio Risk Register   | Live document. Part of Quarterly Report                                       | SRO, PoMO, PB, JC, JSC                           | x                |     | x   | x   | 1                  | x        |     | x        |              | x   |                    | x  | ×                             | x   |            | ×   |     | x  |               | x            |     | x   |     | Risk management strategy presented at PB<br>November 2020 and signed off at JC November<br>2020.<br>Monthly highlight reports in place from Oct 2020.   |
| M & E plan  | Live document   | SRO, PoMO  |                  | Ш   | ×   | x   |                    |          |     | Ш        |              |     |                    |    |                               | x   | x          |     |     |    |               |              |     | x   | ×   | Quarterly monitoring reports in place from Nov<br>2020.   |
| Highlight Report  | Live document   | PoMO, JC, PB                                     |                  | Ш   |     |     | ×                  | Ц        | x x | Ц        | x x          | ,   | ×                  | 4  | x x x                         | x x | ×          | ļ   | ×   | ×  | 3             | ×            | ,   | ×   | ×   | Key prog / proj activity and risks presented monthly to JC and PB Key acreevements last 3 months, access planned  |
| Quarterly Monitoring Report   | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  |     |     |     |                    | ×        |     | x        |              | x   |                    | x  | ×                             |     | ×          |     | x   |    | x             |              | ×   |     | ×   | next 3 months, also includes appendicies: IAAP,<br>Portfolio Risk Register, Covid Impact Assessment,  |
| Covid-19 Impact Assessment  | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  | Ц   | x   | x   |                    | ×        |     | x        | Ш            | x   |                    | x  | ×                             |     | x          |     | x   |    | x             |              | x   |     | x   | Updated assessments submitted to PB, JC and ESB quarterly.  |
| Benefits Register   | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  |     |     | x   |                    | x        |     | x        |              | ×   | $\perp$            | ×  | ×                             |     | x          |     | x   |    | x             |              | x   |     | ×   | Part of Quarterly Monitoring Report   |
| Community Benefits  | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  |     |     | ×   |                    | x        |     | ×        |              | ×   |                    | x  | ×                             |     | ×          |     | ×   |    | x             |              | x   |     | ×   | Part of Quarterly Monitoring Report   |
| Financial Monitoring  | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  |     |     | x   |                    | ×        |     | x        |              | ×   |                    | ×  | ×                             |     | x          |     | x   |    | x             |              | x   |     | ×   | Part of Quarterly Monitoring Report   |
| Procurement Pipeline  | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     | T                |     |     | x   |                    | x        |     | x        |              | ×   |                    | x  | ×                             |     | x          |     | x   |    | x             |              | ×   |     | ×   | Part of Quarterly Monitoring Report   |
| Construction Impact Assessment  Key Documentation Approvals                     | Live document part of Quarterly Report  | PoMO, JC, PB                                     |                  |     |     | ×   |                    | ×        | L   | ×        |              | ×   | Ш                  | ×  | x                             |     | x          |     | х   | Ш  | х             | Ш            | х   | L   | х   | Part of Quarterly Monitoring Report   |
| Intregrated Assurance & Approval Plan   | Live document   | SRO, PB, PoMO, JC                                |                  |     | ×   |     |                    |          |     | x        |              | x   |                    | x  | ×                             | ×   |            | ×   |     | x  |               | x            |     | x   |     | Submitted as part of the Quarterly Monitoring<br>Report   |
| Portfolio Business Case   | Live document   | SRO, PB, PoMO, JC,<br>WCGIB                      |                  |     | ×   | x   | ×                  | x        |     | x        | x            |     |                    |    |                               | x x |            |     |     |    |               |              | ,   | ×   |     | Annually reviewed   |
| Implementation Plan   | Live document   | SRO, PoMO, PB, JC, JSC                           |                  |     |     | x   |                    |          |     | П        |              |     |                    |    |                               |     |            |     |     |    |               |              |     |     |     | July 2020 approval at JC. Quarterly Monitoring<br>Report has replaced the Implementation Plan   |
| Portfolio Issues Log  | Live document   | SRO, PoMO, PB, JC, JSC                           | ×                |     | ×   | x   |                    |          | x   | ×        |              | x   |                    | x  | ×                             | ×   |            | ×   |     | ×  |               | x            |     | ×   |     | Updated on a quarterly basis in line with Portfolio<br>Risk Register and Covid Impact Assessment  |
| Portfolio Risk Register   | Live document   | SRO, PoMO, PB, JC, JSC                           | ×                |     | x   | x   |                    | x        |     | x        |              | x   |                    | x  | ×                             | x   |            | ×   |     | x  |               | x            |     | ×   |     | Quarterly to JC / PB JSC in line with Issues Log.<br>Risk management strategy presented at PB<br>November 2020 and signed off at JC November<br>Monthly highlight reports in place from Oct 2020. |
| M & E plan  | Live document   | SRO, PoMO  | ****             |     | ×   | ×   |                    |          |     |          |              |     |                    | x  | x                             |     |            |     |     | ×  | ×             |              |     |     |     | Monthly highlight reports in place from Oct 2020.  Quarterly monitoring reports in place from Nov 2020. Appual performance report also planned.   |
| Highlight Report  | Live document   | PoMO, JC, PB                                     |                  |     |     |     | ×                  |          | x x |          | x x          | ,   | ×                  |    | x x x                         | x   | x x        |     | x x |    | x :           | •            | x > | ĸ   | x x | Key prog / proj activity and risks presented monthly to JC and PB   |
| Quarterly Monitoring Report   | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  | Ц   |     |     |                    | ×        |     | ×        |              | ×   |                    | ×  | ×                             | x   |            | ×   |     | ×  |               | x            |     | ×   | Ш   | Key achievements last 3 months, activity planned<br>next 3 months, also includes appendicies: IAAP,<br>Portfolio Risk Renister, Covid Impact Assessment   |
| Benefits Register   | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  |     |     | x   |                    | x        |     | x        |              | x   |                    | x  | ×                             |     | x          |     | x   |    | x             |              | x   |     | ×   | Part of Quarterly Monitoring Report   |
| Community Benefits  | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  |     |     | x   |                    | x        |     | x        |              | ×   |                    | x  | x                             |     | x          |     | x   |    | x             |              | x   | L   | ×   | Part of Quarterly Monitoring Report   |
| Financial Monitoring  | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  |     |     | ×   |                    | x        |     | ×        |              | ×   | Ш                  | ×  | ×                             | Ш   | x          | Ŀ   | ×   | Ш  | x             |              | x   |     | ×   | Part of Quarterly Monitoring Report   |
| Procurement Pipeline  | Live document. Part of Quarterly Report                                       | PoMO, JC, PB                                     |                  |     |     | x   | _                  | x        | 1   | x        |              | ×   | Ш                  | x  | ×                             |     | x          | -   | ×   | Ш  | x             | $\downarrow$ | x   | L   | ×   | Part of Quarterly Monitoring Report   |
| Construction Impact Assessment  | Live document part of Quarterly Report  | PoMO, JC, PB                                     |                  |     |     | ×   | _                  | x        |     | ×        | 1,           | x   | Ш                  | x  | х                             |     | x          | - : | x   | Щ  | x             | Ш            | х   |     | х   | Part of Quarterly Monitoring Report   |
| Covid-19 Impact Assessment  | Live document   | SRO, PoMO  |                  | Ц   | x   |     | 1                  | ×        |     | x        |              | ×   |                    | ×  | ×                             | x   |            | ×   |     | x  |               | x            |     | ×   | Ц   | Updated assessments submitted to PB and JC<br>quarterly   |
| OGC Gateway Process   | Risk Potential Assessment   | SRO, PB, PoMO                                    |                  |     | x   |     | T                  | П        |     | П        |              |     |                    | Ţ  |                               | I   |            |     |     |    | I             | П            | Ţ   | Ι   | П   | SRO appointment in June 2020. RPA submitted   |
| OGC Gateway Process   | Stage Gate 0 Portfolio Strategic Assessment                                   | SRO, PB, PoMO, JC                                |                  |     |     | x   |                    | Ц        |     | Ц        |              |     |                    | ×  |                               |     |            |     |     | x  |               |              |     |     |     |   |
| Accounting Officer Review (AOR)   | AOR   | SRO, PB, PoMO, JC,<br>WCGIB                      |                  |     |     |     | x                  | x        |     |          |              |     |                    |    |                               |     |            |     |     |    |               |              |     |     |     | AOR is an annual review in line with PBC update.<br>PBC is part of core documentation for annual<br>assessment prior to funding approval  |
| Approval point for AOR review   | AOR   | SRO, PB, PoMO, JC,<br>WCGIB                      |                  |     |     |     | I                  | П        | ×   | П        | x            | Ц   | П                  | I  |                               |     |            |     |     |    |               |              | I   | I   | П   |   |
| OGC Gateway Process   | Stage Gate 0 Portfolio Strategic Assessment                                   | PoMO, SRO, PB, JC                                |                  | Ц   |     | x   | ×                  | Ц        |     | Ш        | Ш            | Ш   | Ш                  | ×  | Ш                             |     | Ш          |     |     | ×  |               | Ш            |     |     | Ц   | Review report to JC / PB quarterly. PoMO<br>implementing recommendations  |
| External Audit  | Audit   | AB, PoMO   | IA               | 4   | -   | ×   | x x                | H        | 1   | $\sqcup$ | $\downarrow$ | H   | x                  | x  | x                             | 4   | Ш          | -   | ×   | ×  | ×             | Н            | 4   | L   | H   | Annual Wales Audit Report   |
| Internal Audit  | Audit   | AB, PoMO   |                  |     |     |     | ×                  |          |     |          | x x          |     |                    |    |                               | ×   | ×          |     |     |    |               |              |     | ×   | ×   | Pembrokeshire Council Internal Audit. Report due<br>March   |



# SBCD Campuses Integrated Assurance and Approval Plan

| 10  |                               | •  | _          |          |     |                |          |     |     |     |            |     |          |                |            |     |            |     |     |          |     |     |     |          |     | 0/00  |          |     |          |       |           |  |
|---|-------------------------------|--|------------|----------|-----|----------------|----------|-----|-----|-----|------------|-----|----------|----------------|------------|-----|------------|-----|-----|----------|-----|-----|-----|----------|-----|-------|----------|-----|----------|-------|-----------|--|
| Assurance / approval / Reporting                      | Activity/Product              | Primary client                                   | <b>L</b> . |          | _   | 1 1            | 20/20    | _   | Τ., | 1_1 | _ [,       |     | T . I    |                | _          | _   | 2022       | _   | 1_  |          |     | Τ.  | 1_1 |          | 1.  | 2/202 |          |     |          | _ [.  | +         | Comments   |
| Assurance / approvar / Reporting                      | Activity/1 roduct             | Triniary Cheric                                  | Apr        | Ma)      | 티   | Aug            | Sep      |     | Dec | Jan | Feb<br>Mar | Apr | Мау      | Jul.           | Jul<br>Auo | Sep | Oct        | Dec | Jan | Feb      | Mar | May | Jun | ٦,       | Aug | ő     | Š        | Dec | Jan      | M Ped | Mar       |  |
| Governance  |                               |  |            |          |     |                |          |     |     |     |            |     |          |                |            |     |            |     |     |          |     |     |     |          |     |       |          |     |          |       |           |  |
| Project Level   |                               |  |            |          |     |                |          |     |     |     |            |     |          |                |            |     |            |     |     |          |     |     |     |          |     |       |          |     |          |       |           |  |
| Meetings  |                               |  |            |          |     |                |          |     |     |     |            |     |          |                |            |     |            |     |     |          |     |     |     |          |     |       |          |     |          |       |           |  |
| Delivery Team   | Meetings                      | Project Leads                                    |            | x        | x x | x              | x >      | ( x | ı x | x   | x >        | х х | x        | x              | x x        | x   | <b>x</b>   | x x | x   | x        | x > | x   | x   | x        | x x | x     | x        | x   | x z      | x >   |           | Meetings increased to weekly to align with<br>'Start up" and delivery phase. |
| Steering Group  | Meetings                      | Project Leads, Internal<br>Partners              |            | x        | x x | x              | x >      | c x | ι   |     | x >        | х х | x        | x              | x          |     | 1          | x x | x   | x        | x > | x   | x   | x        | x x | x     | x        | x   | x z      | x >   | x E       | Bi weekly meetings   |
| External Reference Group                              | Meetings                      | Project Leads, Internal and<br>External Partners |            | x        | x x | x              | x >      | ( x | í   |     | x >        | х х | x        | x              | х          | x   | <b>x</b>   | х   | x   | x        | x > | x   | x   | x        | x x | x     | x        | x   | x 2      | x >   |           | Bi weekly meetings   |
| City Deal Strategic Oversight Group                   | Meetings                      | PLA  |            |          |     | Ш              | x >      | ( X | ιx  |     | x >        | х х | x        |                |            |     |            | x x | x   | х        | x > | x   | x   | x        | x x | x     | x        | x   | x z      | x >   | x a       | Monthly meetings. Two meetings in October and Novmeber.                      |
| CSS Governance  | Meetings                      |  |            |          |     |                |          |     | 4   |     | _          |     |          | _              |            |     | х          |     |     |          | _   | _   |     |          |     | 1     |          |     |          |       | _         |  |
| Health Board Governance                               | Meetings                      |  |            |          |     |                |          |     | 4   |     |            |     |          |                |            | х   |            |     |     |          |     |     |     |          |     |       |          |     |          |       | 4         |  |
| Joint Committee                                       | Meetings                      | SRO, JC, PMO                                     |            |          | x x |                | x        | х   | íX  | x   | x >        | хх  | х        | x              | x          | х   | <b>x</b> : | x x | x   | х        | x > | x   | x   | x        | х   | x     | x        | x   | x 2      | x >   | ×Λ        | Monthly meeting. August recess.  |
| Programme Board                                       | Meetings                      | SRO, PB, PMO                                     |            | х        | x x |                | x        | х   | íX  | x   | x >        | хх  | х        | x              | x x        | х   | <b>x</b> : | x x | x   | х        | x > | x   | x   | x        | x x | x     | x        | x   | x 2      | x >   | x ,       | Monthly meeting. Two meetings in June 2020.                                  |
| Economic Strategy Board                               | Meetings                      | SRO, ESB, PMO                                    |            |          | x x | x              | x >      | ( x | ı x | x   | <b>x</b>   | x x | x        | x              | x x        | x   | <b>x</b>   | x x | x   | х        | x > | x   | x   | x        | x x | x     | x        | x   | x z      | x >   | ×Λ        | Monthly meeting  |
| Joint Scrutiny Committee                              | Meetings                      | SRO, JSC, PMO                                    |            |          | х   |                | )        | (   | х   |     | х          | х   |          | х              | х          |     | х          | х   |     | х        |     |     |     |          |     |       |          |     |          |       | Ν         | Meetings every two months.   |
| PMO/Project Leads                                     | Meetings                      | PMO, Project Leads                               |            |          | хх  | х              | x >      | ( x | ( x | х   | x >        | х х | х        | х              | хх         | х   | <b>x</b> : | х   | х   | х        | x > | x   | х   | х        | x x | x     | х        | х   | x x      | x >   | x E       | Bi-weekly meetings   |
| Key Documentation Review                              |                               |  |            |          |     |                |          |     |     |     |            |     |          |                |            |     |            |     |     |          |     |     |     |          |     |       |          |     |          |       |           |  |
| Intregrated Assurance & Approval Plan                 | Live document                 | SRO, PB, PMO, JC                                 |            |          | x   |                |          |     |     | x   |            | х   |          |                | x          |     | х          |     | x   |          | ,   | :   |     | x        |     | x     |          |     | x        |       |           | June 2020 approval at JC. IAAP review every                                  |
| Business Case   | Live document                 | SRO, PB, PMO, JC                                 |            |          | хх  |                | x >      | (   | 1   |     |            | х   | х        | х              |            | х   | х          | х   |     |          | T   |     |     |          |     |       |          |     |          |       | -         | Aproval from vvelsn and U.K. Government                                      |
| Implementation Plan                                   | Live document                 | SRO, PMO, PB, JC, JSC                            |            |          | хх  |                |          |     | 1   |     |            |     |          | T              |            |     |            | х   |     | х        | T   |     |     |          |     |       |          |     |          |       | F         | Revised plan to be approved by PB Feb 2022                                   |
| Highlight Report                                      | Live document                 | PoMO, PB, JC, JSC                                |            |          |     |                | )        | ( x | c x | х   | x >        | хх  | х        | х              | хх         | х   | <b>x</b> : | хх  | х   | х        | x > | x   | х   | х        | хх  | х     | х        | х   | x :      | x >   | x n       | monthly  |
| Quarterly Monitoring Report                           | Live document                 | PoMO, PB, JC, JSC                                |            |          |     |                |          |     | 1   | х   |            | х   |          | T              | х          |     | х          |     | х   |          | ,   |     |     | х        |     | х     |          |     | х        |       | (         | Quarterly  |
| Issues Log  | Live document                 | SRO, PMO, PB, JC, JSC                            |            |          | х   |                | )        | (   | 1   | х   |            | х   |          | T              | х          |     | х          |     | х   |          | ,   |     |     | х        |     | х     |          |     | х        |       | (         | Quarterly  |
| Risk Register   | #NAME?                        | SRO, PMO, PB, JC, JSC                            |            |          | х   |                | )        | (   | 1   | х   |            | х   |          | T              | х          |     | х          |     | х   |          | ,   |     |     | х        |     | х     |          |     | х        |       | (         | Quarterly  |
| Benefits Register                                     |                               |  |            |          |     |                |          |     | 1   |     |            |     |          | T              |            |     |            |     |     |          | T   |     |     |          |     |       |          |     |          |       | T         | -  |
| M & E plan  | Live document                 | SRO, PMO   |            |          | хх  |                |          | х   |     | х   |            | х   |          | T              | x          |     | х          |     | х   |          | ,   |     |     | х        |     | х     |          |     | х        |       | (         | Quarterly  |
| Covid-19 Impact Assessment                            | Live document                 | SRO, PMO   | П          |          | хх  |                | ,        | ( x |     |     |            | х   |          |                | x          |     | х          |     | х   |          | ,   |     |     | х        |     | х     |          |     | х        | T     | Ų         | opoated quarterly as part or quarterly                                       |
|   | •                             |  |            |          |     |                |          |     |     |     | •          |     |          |                |            | •   |            |     | •   |          |     | -   |     |          |     |       |          |     | -        |       | -         | aconorma ropor   |
| Assurance   |                               |  |            |          |     |                |          |     |     |     |            |     |          |                |            |     |            |     |     |          |     |     |     |          |     |       |          |     |          |       |           |  |
| Project Level   |                               |  |            |          |     |                |          |     |     |     |            |     |          |                |            |     |            |     |     |          |     |     |     |          |     |       |          |     |          |       |           |  |
| Level 1 - Functional Assurance (Internal)             |                               |  |            |          |     |                |          |     |     |     |            |     |          |                |            |     |            |     |     |          |     |     |     |          |     |       |          |     |          |       |           |  |
| Technical, due diligence, data security and financial | Internal procedures           | PLA, Project Leads                               |            |          |     |                | ,        |     | Τ   | П   |            | T   |          |                |            |     | х          |     |     |          | T   |     |     |          |     | x     |          |     |          |       | A         | Annual review to ensure fit for purpose                                      |
| Level 2 - Independent                                 |                               |  |            |          |     |                |          |     | _   |     |            | +   |          | _              |            |     | _          |     |     |          |     |     | ш   |          |     |       | ш        |     |          | _     | _         |  |
| OGC Gateway Process                                   | Risk Potential Assessment     | SRO, PB, PMO                                     | T          | Т        | х   | П              | Т        | Т   | T   | y l | Т          | Т   | П        | Т              | Т          | T   | T          | Т   | П   | П        | Т   | Т   | П   | T        | Т   | Т     | П        |     | Т        | T     | 5         | SRO appointment in June 2020. RPA  |
| OGC Gateway Process                                   | Gate 0 Programme Strategic    | SRO, PB, PMO, JC                                 | +          | $\dashv$ | ^ у | ${}^{\dagger}$ | x        | +   | +   | 1   | +          | +   | H        | $\dashv$       | +          | +   | +          | +   | +   | H        | +   | +   | H   | $\dashv$ | +   | +     | H        | H   | $\dashv$ | +     | Ŧ         |  |
| OGC Gateway Process                                   | Gate 1 Business Justification | SRO, PB, PMO, JC                                 | +          | -        | Ť   | ¥              | Ť        | +   | +   | +   | +          | +   | H        | -              | +          | T   | $\dashv$   | +   | T   | H        | +   | +   | H   | $\dashv$ | +   | +     | H        | H   | -        | +     | t         |  |
| OGC Gateway Process                                   | PAR                           |  | +          | $\dashv$ | +   | Ĥ              | $\dashv$ | +   | +   | +   | +          | +   | H        | $\dashv$       | +          | x   | +          | +   | +   | H        | +   | +   | H   | $\dashv$ | +   | +     | H        | H   | $\dashv$ | +     | +         |  |
| PMO Project Health Check                              | Health Check                  | SRO, PB, PMO, JC                                 | +          | -        | +   | Ħ              | $^+$     | +   | +   | +   | +          | +   | H        | -              | +          | Ê   | $\dashv$   | +   | T   | x        | +   | +   | H   | $\dashv$ | +   | +     | H        | H   | -        | +     | t         |  |
| OGC Gateway Process                                   | Gate 2 Delivery Strategy      | SRO, PB, PMO, JC                                 | +          | -        |     | H              | $^+$     | +   | +   | ++  | +          | +   | H        | $\dashv$       | +          | +   | +          | +   | +   | Ĥ        | +   | +   | H   | $\dashv$ | +   | +     | H        |     | $\dashv$ | +     | +         |  |
| OGC Gateway Process                                   | Gate 3 Investment Decision    | SRO, PB, PMO, JC                                 | +          | -        | +   | Ħ              | $^+$     | +   | +   | +   | +          | +   | H        | -              | +          | T   | $\dashv$   | +   | T   | H        | +   | +   | H   | $\dashv$ | x   | +     | H        | H   | -        | +     | ç         | Sketty Lane PAR gateway 3 - Q2 2023.   |
| OGC Gateway Process                                   | Gate 4 Readiness for Service  | SRO,PB,PMO, JC                                   | +          | -        | +   | Ħ              | $^+$     | +   | +   | +   | +          | +   | H        | -              | +          | T   | $\dashv$   | +   | T   | H        | +   | +   | H   | $\dashv$ | +   | +     | H        | H   | -        | +     | _         | Set for Qtr1 - 2025  |
| OGC Gateway Process                                   | Gate 5 Operations Review &    | SRO,PB,PMO, JC                                   | +          | +        | +   | $\forall$      | $\dashv$ | +   | +   | +   | +          | +   | H        | $\dashv$       | +          | +   | $\dashv$   | +   | +   | H        | +   | +   | H   | $\dashv$ | +   | +     | H        | H   | $\dashv$ | +     | _         | Estimate date - 2033   |
| Approvals   | <u>l</u>                      |  | 1          |          |     |                |          | _   | _   |     |            | _   |          | _              |            |     | _          | _   |     |          |     |     | _   |          | _   |       |          |     |          | +     |           |  |
| SBUHB Board   | Meeting                       | SRO, PL, Internal Partner                        | 1 1        | T        | T   |                | T        | Т   | T   | T   | T          | Т   | П        | Т              |            | Т   | Т          | Т   | П   | П        | T   | T   | П   | T        | Т   | Т     |          |     | T        | Т     | T         |  |
| HDUHB R&I Board                                       | Meeting                       | SRO, PL, Internal Partner                        | +          | -        | +   | Ħ              | $\dashv$ | +   | +   | +   | $\dashv$   | +   | H        | <del>-  </del> | Ŧ          | Y   | $\dashv$   | +   | T   | H        | +   | +   | H   | $\dashv$ | +   | +     | H        | H   | $\dashv$ | +     | $\dagger$ |  |
| Scrutiny Panel  | Meeting                       | SRO, PL, Internal Partner                        | +          | +        | +   | $\forall$      | $\dashv$ | +   | +   | ++  | +          | +   | $\vdash$ | $\dashv$       | +          | v   | +          | +   | +   | $\vdash$ | +   | +   | H   | $\dashv$ | +   | +     | $\vdash$ | H   | $\dashv$ | +     | +         |  |
| os.ay r anor  | osang                         | oo, i E, intollial i altilel                     | 1          | I        | ı   | 1 1            | I        | ı   | ı   | 1 1 | I          | ı   | 1 1      | ı              | ı          | I ^ | ı          | ı   | 1   |          | ı   | ı   | 1 1 | ı        | ı   | ı     | 1 1      | 1   | I        | ı     | - 1       |  |

| Swansea Council Cabinet                   | Meeting    | SRO, PL, Internal Partner | П   |   | т т | Т | 1  | ПТ       |    |    | П        |     | 1 1 | <u> </u> | T | Т  |   |    |    | т  | Т |     | 1      | П   |   | -T |   | П   | T | Т |                               |
|---|------------|---------------------------|-----|---|-----|---|----|----------|----|----|----------|-----|-----|----------|---|----|---|----|----|----|---|-----|--------|-----|---|----|---|-----|---|---|-------------------------------|
|   |            |                           | ₩   | - | +   |   | +- | $\vdash$ |    | +  | $\vdash$ |     | -   | -        | - | ++ | - |    | +  | -  | - | _   | +-     | + + | - | +  | + | + + | - | - |                               |
| Arch Board                                | Meeting    | SRO, PL, Internal Partner |     |   |     |   |    |          |    |    |          |     |     |          | х |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Swansea University - SLT                  | Meeting    | SRO, PL, Internal Partner |     |   |     |   |    |          |    |    |          |     |     |          | х |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Swansea University - SOP Board            | Meeting    | SRO, PL, Internal Partner |     |   |     |   |    |          |    |    |          |     |     |          | х |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Swansea University - Finance and Strategy | Meeting    | SRO, PL, Internal Partner |     |   |     |   |    |          |    |    |          |     |     |          | х |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Swansea University Council                | Meeting    | SRO, PL, Internal Partner |     |   |     |   |    |          |    |    |          |     |     |          |   | х  |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| PMO - Programme Board                     | Meeting    | SRO, PL, PMO              |     |   |     |   |    |          |    |    |          |     |     |          | х |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Joint Committee                           | Meeting    | SRO, PL, PMO, JC          |     |   |     |   |    |          |    |    |          |     |     |          |   | х  |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Consequential Assurance                   | -          | -                         |     |   |     |   |    |          |    |    |          |     |     |          |   |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| OGC Gateway Process                       | Assessment | PMO, SRO, PB, JC          |     |   | x   | 2 | •  |          |    |    |          |     |     |          |   |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
|   |            |                           |     |   |     |   |    |          |    |    |          |     |     |          |   |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Level 3 - Audit                           |            |                           |     |   |     |   |    |          |    |    |          |     |     |          |   |    |   |    |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Audit                                     | Audit      | SRO, PB, PMO, JC          | W V |   |     |   |    |          | IA | IA | IA       | W W | ۷V  |          |   |    |   | IΑ | IA | IA | W | V V | $\top$ |     |   |    |   |     |   | ١ | Wales Annual Audit            |
| Lessons learned workshop                  | Meetings   | Partners                  |     |   |     |   |    |          |    |    |          |     |     |          |   |    | ) | x  |    |    |   |     |        |     |   |    |   |     |   |   |                               |
| Comms group                               | Meetings   | Partners                  |     | х |     |   | ۲  |          | х  |    | х        |     |     |          |   | )  | х |    | х  |    | х |     |        | х   |   | х  |   |     | х |   | Quarterly into delivery phase |



### Digital Intrastructure

# Integrated Assurance and Approval Plan

| City Deal                            |                                       | 1                      |             |      |       |     |     |        |     |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
|--------------------------------------|---------------------------------------|------------------------|-------------|------|-------|-----|-----|--------|-----|-----|-------|-----|-----|-----|-----|------|-----|-----|----------|--------|-----|-----|-----|-----|-----|-------------|--|
| Assurance / approval / Reporting     | Activity/Product                      | Primary client         |             |      |       |     |     | )/2021 |     |     |       |     | -   | -   |     |      |     |     |          | 21/202 |     |     | _   |     |     |             | Comments   |
| Assurance / approvar / Reporting     | Activity/Product                      | Frimary Client         | Apr         | Мау  | Jun   | Jul | Aug | d o    | ŠŠ  | Dec | Jan   | Feb | Apr | May | Jun | Inc. | Aug | Sep | o o o    |        | 3   | Feb | Mar | Apr | May | un I        |  |
| Governance                           |                                       |                        |             |      |       |     |     |        |     |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
| Digital Programme Board              | Live document / Meetings              | CCC, PCC, CCS, NPT     |             | х    | х     |     | x   | х      | x x |     |       | x x | хх  | x x | х   | х    | x   | x   | <b>x</b> | ( )    | : : | x x | х   | х   | х   | <b>x</b>    | x Monthly Programme Board meetings   |
| Carmarthenshire County Council       | Council Meetings                      | ccc                    |             | х    |       |     | 1   | x x    | x x |     |       | x   |     |     |     |      |     |     |          |        |     |     |     |     |     |             | Reports and/or presentations taken to CMT/PEB/EB as<br>appropriate for decisions and updates                     |
| Regional Local Authorities           | Council Meetings                      | CCC, SCC, PCC, NPTC    |             |      |       |     | 2   | x x    | ĸ   |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             | Reports/Business Case taken as appropriate for decision<br>and discussion  |
| Joint Committee                      | Meetings                              | SRO, JC, PoMO          | х           | х    | x     | x   | x 2 | x x    | x x | x   | x     | x x | x x | x   | x   | x    | x   | x   | x :      | ( )    | : : | x x | x   | x   | x   | x :         | x Monthly meeting - Highlight reports/Project updates provided as requested                                      |
| SBCD Programme Board                 | Meetings                              | SRO, PB,PoMO           | х           | х    | x     | x   | x 2 | x x    | x x | x   | х     | x 2 | x x | x   | х   | х    | x   | x   | x :      | ( )    | :   | х   | х   | х   | х   | <b>x</b>    | x Monthly meeting - Highlight reports/Project updates provided as requested                                      |
| Economic Strategy Board              | Meetings                              | SRO,ESB.PoMO           | х           | х    | x     | x   | x : | x x    | x x | x   | x     | x x | x x | x   | х   | х    | x   | x   | x :      | ( )    | :   | x x | x   | x   | x   | <b>x</b>    | x Monthly meeting - Highlight reports/Project updates provided as requested                                      |
| Joint Scrutiny Committee             | Meetings                              | SRO, JSC, PoMO         |             | х    |       | x   | 3   | x      | х   |     | x     | 3   | x   | х   |     | х    |     | x   |          | (      |     | x   | x   |     | х   | :           | x Meeting every two months - Documentation provided as requested   |
|                                      |                                       |                        |             |      |       |     |     |        |     |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             | ·  |
| <u> </u>                             |                                       |                        |             |      |       |     |     |        | 丄   | 丄   |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
|                                      |                                       |                        |             |      |       |     |     |        |     |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
| Assurance                            |                                       |                        |             |      |       |     |     |        |     |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
| OGC Gateway Process                  | Risk Potential Assessment             | SRO, PB, PoMO          |             |      | x     |     | 3   | x      |     |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             | Provided to regional office in June 20 and to Welsh<br>Government in September 20                                |
| OGC Gateway Process                  | Gate 0 Programme Strategic Assessment | SRO, PB, PoMO, JC      |             |      |       |     |     | х      | 4   |     |       |     |     |     |     |      |     |     |          |        |     |     | х   |     |     |             | Completed October 20. Next one planned for January   |
| Programme Business Case              | Live document                         | SRO, PoMO, PB, JC, JSC |             |      |       |     |     | х      | x x | x   |       |     |     |     |     |      |     |     |          |        |     |     |     | х   |     |             | Business Case produced, incremental strengthening ar<br>amendments ongoing.                                      |
| Programme Risk Register & Issues Log | Live document                         | SRO, PoMO, PB, JC, JSC | х           | x    | x     | x   | x 2 | x x    | x x | x   | x     | x 2 | x x | x   | x   | x    | x   | x   | x :      | ( )    | :   | x x | x   | x   | x   | x :         | Risk register and issues log produced and live. Monthl review and amendments ongoing via Digital Programm Board. |
| Programme M & E plan                 | Live document                         | SRO, PoMO, PB, JC, JSC | х           | х    | x     | x   | x : | x x    | x x | x   | x     | x x | x x | x   | х   | х    | x   | x   | x :      | ( )    | :   | x x | х   | x   | x   | <b>x</b>    | M & E Plan produced and live. Monthly review and amendments ongoing.   |
| UK and Welsh Gov Policy discussions  | Meetings                              | SRO, PoMO              |             | х    |       | х   | 3   | х      |     | х   |       | ,   | x   |     | x   |      |     | x   |          | )      |     |     | х   |     |     | х           | Several policy meetings have taken place to inform<br>Business Case. Policy discussions ongoing quarterly.       |
|                                      |                                       |                        |             |      |       |     |     |        | I   |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
|                                      |                                       |                        |             |      |       |     |     |        | 丄   | Ш   |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
|                                      |                                       |                        |             |      |       |     |     | _      | 丄   | ₩   |       |     |     |     |     |      |     |     |          |        |     | _   | 4   |     |     |             |  |
|                                      |                                       |                        |             |      |       |     |     |        | 丄   | 丄   |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
|                                      |                                       |                        |             |      |       |     |     |        | _   |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
| Audit and risk                       | Audis                                 | CDO DD D-MO 10         | 1           | 14/4 | 14/40 |     | _   |        | 4   | _   |       |     | _   | _   | 1 1 |      |     | -   | -        | _      | _   |     | _   |     | _   |             | <u> </u>   |
| Audit                                | Audit                                 | SRO, PB, PoMO, JC      | WAO<br>/NAO |      | /NA   |     |     |        |     |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             | annual audit   |
|                                      |                                       |                        |             | ٨٥   | ,     |     |     |        | I   |     |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |
|                                      | 1                                     |                        |             |      |       |     |     | T      |     |     | I = I |     |     |     |     |      |     |     |          |        |     |     |     |     |     | $\neg \neg$ |  |
|                                      |                                       |                        |             |      |       |     |     | _      | _   | 4   |       |     | _   |     |     |      |     |     |          |        | _   | _   |     |     |     |             |  |
|                                      |                                       |                        |             |      |       |     |     |        | 士   | 士   |       |     |     |     |     |      |     |     |          |        |     |     |     |     |     |             |  |



# HAPS Project Integrated Assurance and Approval Plan

|  |                  |                                   | Last           |     |     |     | 2020        | /2021      |     |            |     |            |     |     |     | 2021/2 | 2022 |     |     |            |     | Comments  |
|--|------------------|-----------------------------------|----------------|-----|-----|-----|-------------|------------|-----|------------|-----|------------|-----|-----|-----|--------|------|-----|-----|------------|-----|---|
| Assurance / approval / Reporting         | Activity/Product | Primary client                    | review<br>date | Apr | Jun | Jul | Aug         | Sep<br>Oct | Nov | Dec<br>Jan | Feb | Mar<br>Apr | May | Jun | Jul | Aug    | Oct  | Nov | Dec | Jan        | rep | Mar   |
| Governance                               |                  |                                   |                |     |     |     | <del></del> |            | 1 1 |            |     |            |     |     |     |        |      | 1   |     |            |     | -   |
| Project Level                            |                  |                                   |                |     |     |     |             |            |     |            |     |            |     |     |     |        |      |     |     |            |     |   |
| Meetings                                 |                  |                                   |                |     |     |     |             |            |     |            |     |            |     |     |     |        |      |     |     |            |     |   |
| HAPS Regional Project Board              | Meetings         | SRO,CCC, PCC, CCS, NPT            | Jan-22         |     |     |     |             | x          | x   | х          | х   | x x        | x   | x   |     |        | x    |     |     | x          | Ī   | x Monthly meetings                              |
| HAPS Regional Technical / Advisory group | Meetings         | CCC, PCC, CCS, NPT &<br>Ptnrs     | Jan-22         |     |     |     |             |            |     | х          |     |            |     |     |     |        |      |     |     | x          |     | To be established.                              |
| Neath Port Talbot Council - RSD          | Council Meetings | NPT                               | Jan-22         | х х | x   | х   | х           | хх         | х   | хх         | х   | хх         | х   | х   | х   | )      | x x  |     | х   | х          |     | x Monthly meetings                              |
| CC Swansea                               | Council Meetings | ccs                               | Jan-22         |     |     |     |             |            |     |            |     |            |     |     |     |        |      |     |     |            |     | frequency tbc                                   |
| Camarthenshire CC                        | Council Meetings | CCC                               | Jan-22         |     |     |     |             |            |     |            |     |            |     |     |     |        |      |     |     |            |     | frequency tbc                                   |
| Pembrokeshire CC                         | Council Meetings | PCC                               | Jan-22         |     |     |     |             |            |     |            |     |            |     |     |     |        |      |     |     |            |     | frequency tbc                                   |
| SBCD Joint Committee                     | Meetings         | SRO, JC, PMO                      | Jan-22         | х х | x   | х   | х           | хх         | х   | х х        | х   |            | х   |     | х   | )      |      | х   |     | <b>x</b> : | x : |   |
| SBCD Programme Board                     | Meetings         | SRO, PB,PMO                       | Jan-22         | х х | x   | х   | х           | хх         | х   | х х        | х   |            | х   |     | х   | )      |      | х   | х   | <b>x</b> : | x : | x   |
| SBCD Economic Strategy Board             | Meetings         | SRO,ESB.PMO                       | Jan-22         | х х |     |     | _           | хх         | х   | хх         | х   | х          | х   |     | х   | )      |      | х   |     | х          | T   | 1   |
| SBCD JSC                                 | Meetings         | SRO, JSC, PMO                     | Jan-22         | х   |     | х   |             | х          | х   | х          |     | х          | х   |     | х   | )      |      | х   |     | х          |     |   |
| Key Documentation Review                 |                  |                                   |                |     |     |     |             |            |     |            |     |            |     |     |     |        |      |     |     |            |     |   |
| Intregrated Assurance & Approval Plan    | Live document    | SRO, HAPS PB, PMO                 | Jan-22         |     | x   | x   | х           | x x        | x   | хх         | х   | хх         | x   | x   | x   | x >    | x    | х   | x   | <b>x</b> : | x : | x monthly review                                |
| Project Business Case                    | Live document    | SRO, HAPS PB, PMO,<br>PB,JC,JSC   | Jan-22         |     |     | x   |             | x          | x   | х          |     | x          |     | x   | x   |        |      |     |     |            |     | OBC approved July 2021                          |
| Project Implementation Plan              | Live document    | SRO, HAPS PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     | х   |             | x          | x   | х          | х   | x          |     | х   |     |        | х    | х   | x   | x :        | x : | x regular review / update                       |
| Benefits Realisation Plan                | Live document    | SRO, HAPS PB, PMO,PB,<br>JC. JSC  | Jan-22         |     |     |     |             |            |     | x          | х   |            |     | x   | x   |        |      | х   |     | x          |     | To review / monitor / update on a regular basis |
| Project issues log                       | Live document    | SRO, HAPS PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     | x   |             | x          | x   | х          |     | x x        | x   | x   | x   | x x    | x    | x   | x   | <b>x</b>   | x : | x regular review                                |
| Project risk register                    | Live document    | SRO, HAPS PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     | x   |             | x          | x   | x x        |     |            |     |     | x   | ,      | 1    | x   | x   | x :        | x : | x regular review                                |
| Project M & E plan                       | Live document    | SRO, HAPS PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |     |             | x          |     | x          |     |            |     | х   | x   |        |      | x   | x   |            |     | x regular review                                |
| Project Communications Strategy          | Live document    | SRO, HAPS PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |     |             |            | x   |            |     | x          | x   |     | x   | x      |      |     | x   | <b>x</b>   | x   | x regular review                                |
| Covid-19 Impact Assessment               | Live document    | SRO, HAPS PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |     |             | x x        |     | x          |     |            |     |     | x   |        | x    |     |     | x          |     | regular review                                  |
| Project Progress Reports                 | Live document    | SRO, HAPS PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |     |             |            |     | x          | x   | x x        | x   | х   | x   | x x    | x    | x   | x   | x :        | x : | x monthly (highlight), quarterly & annual       |

|   | <u> </u>                   |                                   |          |                 |   | T        |          |   |   |   |         |         |   | 1 1      | <u> </u> |   | П   | Т |   |   |      |   |
|---|----------------------------|-----------------------------------|----------|-----------------|---|----------|----------|---|---|---|---------|---------|---|----------|----------|---|-----|---|---|---|------|---|
|   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Key Documentation Approvals                           |                            |                                   |          |                 |   | 1        |          |   |   |   |         |         |   | 1 1      |          | L | 11  |   |   |   |      | -   |
| Project Intregrated Assurance & Approval Plan         |                            | SRO, HAPS PB, PMO, PB,            |          |                 |   | Ī        |          | T | П |   | П       | Т       |   |          |          |   | П   | Т |   |   | П.   | (ive decompost / on aging various / undete    |
| Toject intregrated Assurance & Approvar Flam          |                            | JC, JSC                           |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | _    | Live document / on-going review / update      |
| Drainat Rusinana Cana                                 |                            | SRO, HAPS PB, PMO,<br>PB,JC,JSC   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Project Business Case                                 |                            | 1 5,00,000                        |          |                 |   |          |          |   |   |   |         |         |   |          | x        |   |     |   |   |   | - 1, | OBC approved July 2021                        |
|   |                            | SRO, HAPS PB, PMO, PB,            |          |                 |   |          |          | _ |   |   |         |         |   |          |          |   | t   | + |   | H | 1    | SDC approved July 2021                        |
| Project Implementation Plan                           |                            | JC, JSC                           |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      | Live document / on-going review / update      |
| Benefits Realisation Strategy                         |                            | SRO, HAPS PB, PMO,PB,             |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            | JC. JSC<br>SRO, HAPS PB, PMO, PB, |          |                 | _ | -        |          | _ | 1 |   | 1       | +       |   |          |          |   | +   | + |   | H | -    | Live document / on-going review / update      |
| Project issues log                                    |                            | JC, JSC                           |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| ,   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      | Live document / on-going review / update      |
|   |                            | SRO, HAPS PB, PMO, PB,<br>JC, JSC |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Project risk register                                 |                            | JC, JSC                           |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | ı,   | Live document / on-going review / update      |
| r reject nett register                                |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | ľ    | ave document, on going review, apacte         |
|   |                            |                                   |          |                 |   |          |          |   | Ш |   | Ш       |         |   |          |          |   | Ш   |   |   | Ш |      |   |
|   |                            | SRO, HAPS PB, PMO, PB,<br>JC, JSC |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Decided MOE Disc                                      |                            | 30, 330                           |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      | the decree of the set of the determinant      |
| Project M&E Plan                                      |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | ľ    | Live document / on-going review / update      |
|   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            | SRO, HAPS PB, PMO, PB,            |          |                 | _ | 1        |          | - |   |   |         | +       | + |          |          |   | 1 1 |   |   | H | -    |   |
|   |                            | JC, JSC                           |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Project Communications Strategy                       |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | ŀ    | Live document / on-going review / update      |
|   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            | SRO, HAPS PB, PMO, PB,<br>JC, JSC |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Project Covid 19 Impact Assessment                    |                            | 00,000                            |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | ŀ    | Live document / on-going review / update      |
|   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            | SRO, HAPS PB, PMO, PB,            |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Project Progress Reports                              |                            | JC, JSC                           |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | ı    | Live document / on-going review / update      |
| · · -) · · -g · · -p - · · -                          |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            |                                   | <u> </u> |                 | ! | <u> </u> |          | ! |   |   |         |         |   | 11       |          |   |     |   |   |   |      |   |
| Assurance   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Project Level   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
| Level 1 - Functional Assurance (Internal)             |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          | Ţ |     |   |   |   |      |   |
| Technical, due diligence, data security and financial | Internal procedures        | SRO, HAPS PB, PMO, PB,<br>JC, JSC |          |                 |   |          |          |   |   |   |         | x       |   | x        |          | x |     |   | x                                       |   | x    |   |
| Level 2 - Independent                                 | <u> </u>                   | JUC, JUC                          |          | _               | _ | _        |          |   |   |   |         |         |   | 1 1      |          |   |     |   |   | ш | _    |   |
|   | Diels Detection Accessment | SRO, HAPS PB, PMO, PB,            | 1 00     |                 | 1 | T        | П        | T | П | T | П       | T       |   | T        |          | T | П   | T | T                                       | П | 1    |   |
| OGC Gateway Process                                   | Risk Potential Assessment  | JC, JSC                           | Jan-22   |                 | x |          |          |   |   |   |         |         |   |          |          |   |     |   |   | Ш | х    |   |
|   |                            | SRO, HAPS PB, PMO, PB,<br>JC, JSC |          |                 |   | 1        |          |   |   |   |         |         |   | 1 1      |          |   |     |   |   |   | Į,   | Gateway review to be confirmed once PM & team |
| OGC Gateway Process                                   | PAR                        | JC, JSC                           | Jan-22   |                 | x |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      | in post                                       |
|   |                            | SRO, HAPS PB, PMO, PB,            |          | $\vdash \vdash$ |   | 1        | $\vdash$ | + | H |   | ₽₽      | +       | _ | $\vdash$ |          | _ | ++  | + |   | H | +    |   |
|   |                            | JC, JSC                           |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | J    |   |
| OGC Gateway Process                                   | CFR                        |                                   | Jan-22   |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | J    |   |
| <del></del>   |                            |                                   | J 22     |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | J    |   |
|   |                            |                                   |          |                 | L |          |          |   |   |   |         | $\perp$ |   | $\perp$  |          |   | Ш   |   |   |   |      |   |
| Approvals   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   |      |   |
|   |                            |                                   |          |                 |   |          | ΙŢ       |   | I |   | $\prod$ |         |   |          | T        |   | П   | T |   |   | Ţ    |   |
| Consequential Assurance                               |                            |                                   |          |                 |   | <u> </u> |          |   |   |   | ш       |         |   |          |          |   | ш   | _ |   | Щ | _    |   |
| Consequential Assurance                               |                            |                                   |          |                 |   | T        |          |   | П | Т | П       | _       | T | Т        |          | Т | П   | 1 | Т                                       |   | Т    |   |
|   |                            |                                   |          |                 |   |          |          |   |   |   |         |         |   |          |          |   |     |   |   |   | J    |   |
|   |                            | _!                                |          | <u> </u>        | ! |          |          | _ |   |   |         |         |   |          |          |   |     |   | _ــــــــــــــــــــــــــــــــــــــ | 1 |      |   |

| Level 3 - Audit       |                                   |  |  |  |  |  |  |  |  |  |     |
|-----------------------|-----------------------------------|--|--|--|--|--|--|--|--|--|-----|
| External Audit        | SRO, HAPS PB, PMO, PB,<br>JC, JSC |  |  |  |  |  |  |  |  |  | tbc |
| Internal Audit        | SRO, HAPS PB, PMO, PB,<br>JC, JSC |  |  |  |  |  |  |  |  |  | tbc |
| Risk Critical Friends | SRO, HAPS PB, PMO, PB,<br>JC, JSC |  |  |  |  |  |  |  |  |  | tbc |



# Supporting Innovation and Low Carbon Growth Integrated Assurance and Approval Plan

|                                       |                  |                                    | Last           |     |     |   | 202 | 20/202 | 21     |        |     |          |     |     |          | 20  | )21/20 | )22 |          |     |        |     | Comments                                |
|---------------------------------------|------------------|------------------------------------|----------------|-----|-----|---|-----|--------|--------|--------|-----|----------|-----|-----|----------|-----|--------|-----|----------|-----|--------|-----|---|
| Assurance / approval / Reporting      | Activity/Product | Primary client                     | review<br>date | Apr | Jun | Ъ | Aug | Sep    | No oct | Dec    | Jan | Mar      | Apr | Мау | un I     | Aug | Sep    | Oct | No<br>No | Dec | Feb    | Mar |   |
| Governance                            |                  | •                                  |                |     |     |   |     |        |        |        |     |          |     |     |          |     |        |     |          |     |        |     |   |
| Project Level                         |                  |                                    |                |     |     |   |     |        |        |        |     |          |     |     |          |     |        |     |          |     |        |     |   |
| Meetings                              |                  |                                    |                |     |     |   |     |        |        |        |     |          |     |     |          |     |        |     |          |     |        |     |   |
| SILCG Programme Board                 | Meetings         | SRO, Partners, PMO                 | Jan-22         |     |     |   |     | x      | х      |        | x   | x        | х   | x   | х        |     |        | x   | x        | ×   |        | х   | monthly meetings                        |
| Project Working Group - BTC           | Meetings         | NPT, Partners                      | Jan-22         | х   | х   | х | х   | х      | хх     | x      | х   | x        | х   | x   | x :      | x x | x      | х   | x :      | x x | x      | х   | monthly meetings                        |
| Project Working Group - SWITCH        | Meetings         | NPT,SU, Partners                   | Jan-22         | х х | х   | х | х   | х      | х х    | x      | х х | x        | х   | х   | x 2      | x x | x      | х   |          | х   | x      | х   | monthly meetings                        |
| Project Working Group - Decarb        | Meetings         | NPT, Partners                      | Jan-22         | x x | x   | х | x   | x      | x x    | x      | x x | x        | x   | x   | x 2      | x x | x      | x   | x        | x x | x      | x   | monthly meetings                        |
| Project Workking Group - AMPF         | Meetings         | NPT, Partners                      | Jan-22         | хх  | х   | х | х   | х      | х х    | x      | хх  | x        | х   | х   | x 2      | K X | x      |     |          |     | х      |     | monthly meetings                        |
| Technical / Advisory Group            | Meetings         | NPT, Partners                      | Jan-22         |     |     |   |     |        |        |        |     |          |     |     |          |     |        |     |          |     |        | х   | to be established                       |
| Neath Port Talbot Council - Cabinet   | Council Meetings | NPT                                | Jan-22         |     |     |   |     |        |        |        |     |          |     |     |          |     |        |     |          |     |        |     |   |
| Neath Port Talbot Council - RSD Board | Council Meetings | NPT                                | Jan-22         | х х | х   | х | х   | х      | хх     | x      | хх  | x        | х   | х   | x 2      | к х | x      | х   | х        | хх  | x      | х   | monthly meetings                        |
|                                       |                  |                                    |                |     |     |   |     | Ħ      |        |        |     |          |     |     |          |     |        |     |          |     |        |     | -                                       |
| SBCD Joint Committee                  | Meetings         | SRO, JC, PMO                       | Jan-22         |     |     |   |     |        |        |        | х   |          | х   | х   | x :      | к х | x      | х   | <b>x</b> | хх  | x      | х   | monthly meetings                        |
| SBCD Programme Board                  | Meetings         | SRO, PB,PMO                        | Jan-22         |     | 1   |   | 1   | Ħ      | 1      |        | х   |          | _   |     | _        | к х | _      | _   |          | хх  | _      | _   | , ,                                     |
| SBCD Economic Strategy Board          | Meetings         | SRO,ESB.PMO                        | Jan-22         |     |     |   |     | Ħ      |        | 11     |     |          |     |     |          |     |        | Ħ   |          | 1   |        |     | monthly meetings                        |
| SBCD JSC                              | Meetings         | SRO, JSC, PMO                      | Jan-22         |     |     |   | 1   | Ħ      |        | $\top$ |     | T        |     | х   |          | ĸ   | х      | Ħ   | х        | х   |        | х   | , ,                                     |
|                                       | İ                |                                    | 1              |     | i   | T | 1   | Ħ      |        | $\top$ | - i | $\top$   |     | Ť   | T        | 1   | 1      | Ħ   | Ť        | Ť   | $\top$ | Ť   | , , ,                                   |
| Key Documentation Review              | <u> </u>         |                                    |                |     |     | _ |     |        |        |        |     |          |     |     |          |     |        |     |          |     | _      |     |   |
| Intregrated Assurance & Approval Plan | Live document    | SRO, SILCG PB, PMO                 | Jan-22         |     | x   | х | х   | х      | x x    | x      | x x | x        | х   | x   | x z      | ĸ   |        | х   | x        | x x |        | x   | regular review                          |
| SILCG Programme Business Case         | Live document    | SRO, SILCG PB, PMO, PB,<br>JC. JSC | Jan-22         |     |     |   |     |        | х      |        | x   |          |     |     | x :      | x x | :      |     |          |     |        |     | PBC approved Aug 2021                   |
| Programme Implementation Plan         | Live document    | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |   |     | x      | х      |        | х   | x        | х   | x   | x 2      | x x | x      | x   | <b>x</b> | x x | 1      | x   | regular review                          |
| Benefits Realisation Strategy         | Live document    | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |   |     | x      | х      |        | x   | 1        |     | x   | x 2      | x x | :      |     |          | x x | 1      | x   | regular review                          |
| Programme Issues Log                  | Live document    | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |   |     | x      | x x    | x      | x x | x        |     | x   | x 2      | ĸ   |        | x   | x :      | x x | 1      | x   | regular review                          |
| Programme Risk Register               | Live document    | SRO, SILCG PB, PMO, PB, JC, JSC    | Jan-22         |     |     |   |     | x      | x x    | x      | x x | x        |     |     | x 2      | ĸ   |        | x   |          | х   | 1      | x   | regular review                          |
| M&E Plan                              | Live document    | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |   |     | x      | х      |        | x   | 1        |     |     | x :      | ĸ   |        | x   |          | x   |        | x   | regular review                          |
| Programme Communications Strategy     | Live document    | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |   |     | x      | x      |        | x   | <b>t</b> |     |     | x        |     |        | x   | :        | x   |        | x   | regular review                          |
| Covid 19 Impact Assessment            | Live document    | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22         |     | x   | x | x   | x      | x      |        | x   |          |     |     | <b>x</b> | ĸ   |        | x   | x        | хх  |        | x   | regular review                          |
| Programme Progress Reports            | Live document    | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22         |     |     |   |     |        | x x    | x      | x x | x        | x   | x   | x x      | x x | x      | x   | x        | x x | x      | x   | Annual, quarterly monitoring, highlight |
|                                       |                  |                                    |                |     |     |   |     |        |        |        |     |          |     |     |          |     |        |     |          |     |        |     |   |

| Key Documentation Approvals                           |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
|---|---------------------------|------------------------------------|----------|-----|---|---|----|-----------------|----------|----------|---|--------------|---|---|----------|---|---|---|-----|----------|---|--|
| Intregrated Assurance & Approval Plan                 |                           | SRO, SILCG PB, PMO, PB,            | Jan-22   |     |   |   |    | Π,              | x        | П        | х | П            |   | х | х        |   | х |   | x   | T        | x | Live document / on-going review / update |
|   |                           | JC, JSC                            |          | +   |   |   |    |                 |          | t        |   |              |   | + |          |   | + |   |     |          |   |  |
| SILCG Programme Business Case                         |                           | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22   |     |   |   |    |                 |          |          |   |              |   |   |          | x |   |   |     |          |   |  |
|   |                           |                                    |          |     |   | _ |    |                 |          |          |   |              |   |   |          |   |   |   | _   |          |   | PBC approved Aug 21                      |
| Programme Implementation Plan                         |                           | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22   |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   | x   |          | x | Live document / on-going review / udpate |
| Benefits Realisation Strategy                         |                           | SRO, SILCG PB, PMO, PB,            |          |     |   | + |    |                 |          | tt       |   | Ħ            |   |   |          |   |   |   |     | +        |   | Eve decament, on going review, adjuste   |
|   |                           | JC, JSC                            | Jan-22   |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   | x   |          | x | Live document / on-going review / udpate |
|   |                           |                                    |          |     |   | + |    |                 | +        | t        | _ | T            | _ |   |          |   |   | 1 | +   | +        |   | Live document / on-going review / dupate |
|   |                           | SRO, SILCG PB, PMO, PB,            |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   | <u> </u>                                 |
| Programme Issues Log                                  |                           | JC, JSC                            | Jan-22   |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   | ×   |          | х | Live document / on-going review / udpate |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| Programme Risk Register                               |                           | SRO, SILCG PB, PMO, PB,            | Jan-22   |     |   |   |    |                 |          |          |   |              | x |   | x        |   | x |   | ×   |          |   | Live document / on-going review / udpate |
| i regramme rilek regioter                             |                           | JC, JSC                            | oun zz   |     |   |   |    |                 |          |          |   |              | ^ |   | <b>^</b> |   | ^ |   | ^   |          | ^ | Live document, on going review, adpare   |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| M&E Plan  |                           | SRO, SILCG PB, PMO, PB,            | Jan-22   | 1   |   |   |    |                 |          |          |   |              |   |   |          |   |   |   | x   |          | x | Live document / on-going review / udpate |
|   |                           | JC, JSC                            |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   | l î |          |   | going contain adpute                     |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| Programme Communications Strategy                     |                           | SRO, SILCG PB, PMO, PB,            | Jan-22   |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   | x   |          |   | Live document / on-going review / udpate |
| 1 Togramme Communications Offacegy                    |                           | JC, JSC                            | Jan-22   |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   | ^   |          | ^ | Live document / on-going review / dupate |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| Covid 19 Impact Assessment                            |                           | SRO, SILCG PB, PMO, PB,            | Jan-22   |     |   |   |    |                 |          |          |   | l v          |   |   | x        |   | x |   | ×   |          | Ų | Live document / on-going review / udpate |
| oovid 15 impact /issessiment                          |                           | JC, JSC                            | Jan-22   |     |   |   |    |                 |          |          |   | 1^           |   |   | ^        |   | ^ |   | ^   |          | ^ | Live document/ on-going review / dupate  |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| Programme Progress Reports                            |                           | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22   |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   | x   |          | x | Live document / on-going review / udpate |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| Accuracy  |                           |                                    |          |     |   |   | 1  |                 | <u> </u> | <u> </u> |   | <u> </u>     |   |   |          |   | ш |   |     | <u> </u> |   |  |
| Assurance Project Level                               |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| Level 1 - Functional Assurance (Internal)             |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| Technical, due diligence, data security and financial | Internal procedures       | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22   |     |   |   |    |                 | T        |          | Τ |              | х | х |          |   | x |   | x   |          | х | To plan with NPT internal audit for 2022 |
| Level 2 - Independent                                 |                           | 00,000                             |          |     |   |   | -  |                 |          |          |   |              |   |   | _        |   |   |   |     | -        |   |  |
| OGC Gateway Process                                   | Risk Potential Assessment | SRO, SILCG PB, PMO, PB,            | Jan-22   |     | x |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   | Meeting with WG Jan 2022                 |
|   |                           | JC, JSC                            | <u> </u> | ╁┼  | + | - | +- | $\vdash \vdash$ | +        | H        | + | ₩            |   | + | $\vdash$ | + | + | + | +   | +        | + |  |
| OGC Gateway Process                                   | PAR                       | SRO, SILCG PB, PMO, PB,            | Jan-22   |     | x |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   | planning meeting with PoMO & WG Jan 2022 |
| ·   |                           | JC, JSC                            |          |     |   |   |    | Ш               |          | Ш        |   | $oxed{oxed}$ |   |   |          |   |   |   |     |          |   | -  |
|   |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     | 1        |   | 1  |
| OGC Gateway Process                                   | CFR                       | SRO, SILCG PB, PMO, PB,            | lan 22   |     |   | 1 |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| OGO Galeway Flocess                                   | OI K                      | JC, JSC                            | Jan-22   |     |   |   |    | '               | ^        |          |   |              |   |   |          |   |   |   |     | 1        |   |  |
|   |                           |                                    |          |     |   | L |    | Ш               | ┸        | Ш        |   |              |   |   |          |   |   |   |     | L        |   | <u> </u>                                 |
| Approvals   | 1                         | 1                                  |          | , , |   |   |    |                 |          |          | T |              |   |   |          |   |   |   |     |          |   |  |
|   |                           |                                    |          |     |   | 1 |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
| Consequential Assurance                               |                           |                                    |          |     |   |   |    |                 |          |          |   |              |   |   |          |   |   |   |     |          |   |  |
|   |                           |                                    |          |     |   |   |    |                 | T        |          | Τ |              |   |   |          |   |   |   | T   |          |   |  |
|   | 1                         |                                    | 1        |     |   | 1 |    |                 |          |          |   |              |   |   |          | L |   |   |     |          |   | 1  |

| Level 3 - Audit       |                                    |        |  |  |  |  |  |  |  |  |  |  |
|-----------------------|------------------------------------|--------|--|--|--|--|--|--|--|--|--|--|
| External Audit        | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22 |  |  |  |  |  |  |  |  |  | tbc                                      |
| Internal Audit        | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22 |  |  |  |  |  |  |  |  |  | To plan with NPT internal audit Jan 2022 |
| Risk Critical Friends | SRO, SILCG PB, PMO, PB,<br>JC, JSC | Jan-22 |  |  |  |  |  |  |  |  |  | tbc                                      |



Pentre Awel

### Integrated Assurance and Approval Plan

|   |  |                          |                  |     |     |     |     | 20  | 19/202 | 20  |     |     |     |     |     |      |     | 2020 | /2021 |     |     |     |     |     |         |     | 2021/2 | 022 |     |     |     | Comments   |
|---|--|--------------------------|------------------|-----|-----|-----|-----|-----|--------|-----|-----|-----|-----|-----|-----|------|-----|------|-------|-----|-----|-----|-----|-----|---------|-----|--------|-----|-----|-----|-----|--|
| Assurance / approval / Reporting                          | Activity/Product   | Primary client           | Last review date | Apr | May | Inc | Aug | Sep | Nov    | Dec | Jan | Feb | Mar | Apr | May | Jul. | Aug | Sep  | Oct   | Dec | Jan | Feb | Apr | May | Jul     | Aug | Sep    | Nov | Dec | Jan | Mar |  |
| Governance  |  |                          |                  |     |     |     |     |     |        |     |     |     |     |     |     |      |     |      |       |     |     |     |     |     |         |     |        |     |     |     |     |  |
| Project Board   | Live document  | CCC & Partners           | Jan-22           |     |     |     |     |     |        |     |     | x   |     |     |     |      |     | x    | х     |     |     | x   | x   | x x |         | x   |        | x   | x   |     | x   | bi-monthly meeting / when a key decision is required |
| Steering Group  | Meetings   | ccc                      | Jan-22           |     |     |     |     |     | x      | x   | х   | х   | x   | x   | x   | x x  | ×   | x    |       |     |     | ×   | ·   | x   | x       |     | x      | x   |     | x   | x   | Established following appointment of contractor      |
| Zone 1 Construction Project Board                         | Meetings   | CCC, Bouygues UK, Gleeds | Jan-22           |     |     |     |     |     |        |     |     |     |     |     |     |      |     |      |       |     |     |     |     |     |         |     |        | x x | x   | х : | x x | Established following appointment of contractor      |
| Zone 1 Design and Technical, including Community Benefits | Meetings   | CCC, Bouygues UK, Gleeds | Jan-22           |     |     |     |     |     |        |     |     |     |     |     |     |      |     |      |       |     |     |     |     |     | $\prod$ |     |        | x x | x   | x   | x x |  |
| Carmarthenshire County Council                            | Council Meetings (Exec Board / PEB)  | ccc                      | Jan-22           |     |     | ĸ   |     |     | x      | x   |     |     |     |     |     | x    | x   | х    |       |     | x   |     |     | x   | t       |     | x      |     |     |     | x   | Ratify procurement of contractor                     |
|   | Full Council   | ccc                      | Jan-22           |     |     |     |     |     |        |     |     |     |     |     |     |      |     |      | x     |     |     |     |     |     | $\prod$ |     |        |     |     |     | x   |  |
|   | Council Meetings (Scrutiny)  | ccc                      | Jan-22           | x   |     |     |     |     | x      | x   | x   |     |     |     |     | x    |     |      |       |     |     | x   |     |     | $\prod$ | x   |        |     |     |     | x   | Reports taken to Scrutiny as appropriate             |
|   | Members Briefing (Political Parties)   | ccc                      | Jan-22           |     | x   | xx  |     |     |        |     |     |     |     |     |     |      | x   |      | x     |     |     |     |     |     | $\prod$ |     |        |     |     |     |     |  |
| Other   | Llanelli Rural Council   | LRC                      | Jan-22           |     |     |     |     |     |        |     |     |     |     |     |     |      |     |      | х     |     |     |     |     |     | T       |     |        | +   |     |     |     |  |
|   | Llanelli Town Council  | LTC                      | Jan-22           |     |     |     |     |     |        |     |     |     |     |     |     |      |     | x    |       |     | x   |     |     |     | T       |     |        | +   |     |     |     |  |
|   | David Davis MP Briefing  | CCC, DD                  | Jan-22           |     |     |     |     |     |        |     |     |     |     |     |     |      |     |      |       | x   |     |     |     |     | T       |     |        | +   |     |     |     |  |
|   | Lee Waters MS  | CCC, LW                  | Jan-22           |     |     |     |     |     |        |     |     |     |     |     |     |      |     |      |       |     | x   |     |     |     | T       |     |        | +   |     |     |     |  |
| Hywel Dda UHB   | CMT/BPPAC/PPPAC - Business/People<br>Planning Performance and Assurance<br>Committee   | HDUHB                    | Jan-22           |     |     | ĸ   |     | x   |        |     |     | x   |     |     |     | x    |     | x    | х     |     |     | x   |     | x   |         |     | x      |     |     | x   |     | At least 3 times per annum                           |
| Academic partners   | Cardiff, Swansea and Trinity Universities,<br>Coleg Sir Gar, Pembrokeshire and Gower<br>Colleges:<br>Respective Management Teams & Council | Partners                 | Jan-22           | x   | x : | x x | x   | х   | x x    | x   | x   | x   | х   | x   | x   | x x  | x   | x    | хх    | x   | x   | x x | x   | x x | x x     | x   | x      | x x | x   | x   | x x |  |
| ARCH - A Regional Collaboration for Health                | Respective Management Learns & Council Meetings - HDUHB/SBUHB/SU/CCC   | SRO, PB, PMO, JC         | Jan-22           |     |     | x x |     |     | x      |     |     |     |     |     |     |      |     |      | хх    |     |     | x x | x   | x x | x x     | x   | x      | x x | x   | x   | x x | Discussions on research                              |
| Audit   | Audit  | SRO, PB, PMO, JC         | Jan-22           | WAO |     |     |     |     |        |     |     |     |     |     | x   | 14   |     |      |       |     |     | x   |     |     | $\prod$ |     |        |     |     |     |     | Wales Audit Office<br>Internal Audit                 |

| Risk Register / Critical Friends*                         | Meetings   | PMO, IA, Proc, Risk   | Jan-22 | x | x : | x x | <b>x</b> | x x | x x | : x | x | x | x             | x x | x  | x x | ×   | x :      | ×   | x | x x | x   | x x           | x  | x             | x x    | x  | × | x x      |                                       |
|---|--|---|--------|---|-----|-----|----------|-----|-----|-----|---|---|---------------|-----|----|-----|-----|----------|-----|---|-----|-----|---------------|----|---------------|--------|----|---|----------|---------------------------------------|
| essons learned workshop**                                 | Meetings   | Partners  | Jan-22 |   |     |     |          |     |     |     |   |   |               |     |    | х   |     |          |     |   |     | x   |               |    | x             |        |    | x |          |                                       |
| communications Group                                      | Meetings   | Partners  | Jan-22 |   |     | x   | :        | x   | x   |     | x |   | x             | x   |    | x   |     |          |     |   | x   | x   | x             |    | x             | x      |    | х | x        |                                       |
| Vellness Hub Workstream                                   | Meetings   | CCC, HDUHB, Scarlets, Sport<br>Wales British Diving , Third<br>Sector | Jan-22 | x |     | x   |          | x   | x   | х   |   | x |               | x   |    | x   |     | x        |     |   | x   | x   | x             |    | x             | x      |    | x | х        | Bi-monthly                            |
| Vellness Hub sub-groups:                                  | Therapies sub-group  | CCC, Health Board & Scarlets  | Jan-22 |   | x   | x   |          | x   | x   | х   |   |   |               |     |    |     |     |          |     |   |     |     |               |    |               | $\top$ |    |   |          | Task & Finish gro                     |
|   | Catering sub-group   | CCC & Health Board  | Jan-22 | x |     | x   | :        | x   | x   | х   | x | x | x             | x x | x  | x x | ×   | x :      | c x | x | x   |     |               |    |               | 1      |    | Ħ |          |                                       |
|   | Facilities Management sub-group                                      | ccc   | Jan-22 |   |     |     |          |     |     | х   | x | x | x             | x x | x  | x x | x   | x :      | c x | x | x   |     |               |    |               | $\top$ |    |   |          |                                       |
|   | Green Space Group  | CCC, Leisure, HDUHB   | Jan-22 |   |     |     |          |     |     |     |   |   |               |     |    |     |     |          |     |   |     |     |               |    |               | ×      |    |   |          |                                       |
|   | Hydrotherapy Task and Finish group                                   | CCC & Health Board  | Jan-22 |   |     |     |          |     |     |     |   |   |               |     |    |     |     | х :      | c x | x | x x | x   |               |    |               |        |    | Ħ |          |                                       |
| ssisted Living Workstream                                 | Meetings   | CCC and HDUHB   | Jan-22 |   |     |     | x        | x x | x   |     | x | x | x             | x x | x  | x x | x   | x :      | c x | x | x x | x   | x x           | x  | x             | x x    | x  | x | x x      |                                       |
| Digital Infrastructure                                    | Meeting  | CCC and partners inc Open reach and City Deal Digital lead            | Jan-22 |   |     |     | :        | x   |     | х   |   |   |               | x   | x  | х   | :   | x        |     | x |     | x   | x             |    | x             | ×      |    | x | x        |                                       |
| Design and Build  | Meetings   | CCC ARUP and partners   | Jan-22 |   |     | x x | х :      | x x | х , | : х | х | x | х             | x x | x  | x x | : x | x        | x   | x | x x | x   | x x           | x  | x             | x x    | x  | x | x x      |                                       |
| Health and Wellbeing Implementation Group***              | Meetings   | CCC and partners  | Jan-22 |   | Ħ   |     |          |     |     |     |   |   |               |     | x  | х   |     | ,        | (   |   | x   | x   | x             |    | x             | x      |    | x | x        | See footnote belo                     |
| Education, Skills and Training Implementation<br>Group*** | Meetings   | CCC, academic partners,<br>health board                               | Jan-22 |   | Ħ   |     |          |     |     |     |   |   |               |     | x  | х   |     | x        |     | х | x   | x   | x             |    | x             | x      |    | x | x        | See footnote belo                     |
| Procurement Working Group                                 | Meetings to take forward tender exercise for<br>Phase 1              | ccc   | Jan-22 |   |     |     |          |     |     |     |   |   |               |     |    | x x | ×   | x :      | c x | x | x x | x   | x x           | x  | x             |        |    |   |          |                                       |
| Swansea University Technical Advisory Scoping<br>Group    | Design and scoping of services for Zone 1 education space            | CCC, SU   | Jan-22 |   |     |     |          |     |     |     |   |   |               |     |    | x x | ×   | х :      | c x | x | x x | x   | x x           | x  |               |        |    |   |          | Meetings held ever<br>fortnight       |
| Vhole Site Delivery                                       | To finalise the whole site operational management and sustainability | CCC/financial<br>advisors/specialist input                            | Jan-22 |   |     |     |          |     |     |     |   |   |               |     |    | ×   | ×   | х :      | c x | x | x x | x   | x x           | x  | x             | x x    | x  | x | x x      |                                       |
| Department for International Trade                        | Opportunity to advertise to international investors                  | CCC, DIT  | Jan-22 |   |     |     |          |     |     |     |   |   |               |     |    |     |     |          | x   |   | x   |     |               |    |               |        |    |   |          | Stage 1 template<br>submitted 16/02/2 |
|   | ·  |   | -      | - |     |     | ı        |     |     |     | • |   | •             | ı   |    |     | •   |          |     |   | •   | . I |               |    |               |        |    |   | •        |                                       |
| Assurance   |  |   |        |   |     |     |          |     |     |     |   |   |               |     |    |     |     |          |     |   |     |     |               |    |               |        |    |   |          |                                       |
| OGC Gateway Process                                       | Risk Potential Assessment  | SRO, PB, PMO  |        |   |     |     | T        |     |     |     |   |   |               |     |    |     |     |          |     |   | Ī   |     |               |    |               | T      |    |   | Ī        |                                       |
| OGC Gateway Process                                       | Gate 0 Programme Strategic Assessment                                | SRO, PB, PMO, JC  | TBC    |   |     |     |          |     |     |     |   |   |               |     |    |     |     |          |     |   | Ī   |     |               |    |               | T      |    | П |          |                                       |
| GC Gateway Process  | Gate 1 Business Justification  | SRO, PB, PMO, JC  | TBC    |   |     |     |          |     |     |     |   |   |               |     |    |     |     |          |     |   | T   |     |               |    |               | T      |    | П |          |                                       |
| OGC Gateway Process                                       | Gate 2 Delivery Strategy   | SRO, PB, PMO, JC  |        | 1 | ++  | +   | -        | _   | +   | -   |   | + | $\rightarrow$ |     | ++ |     | +   | $\vdash$ | -   | + | +   | +   | $\rightarrow$ | ++ | $\rightarrow$ | +      | +- | + | $\dashv$ |                                       |

|   |   | -                     |                   |  |   | <br> |   |   | <br> | <br>    |     |   |     |   |   |     |   |     |   |     |   |   |   |     |         |           |                 |
|---|---|-----------------------|-------------------|--|---|------|---|---|------|---------|-----|---|-----|---|---|-----|---|-----|---|-----|---|---|---|-----|---------|-----------|-----------------|
| OGC Gateway Process                       | Gate 3 Investment Decision  | SRO, PB, PMO, JC      | TBC               |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     |   |   |   |     |         |           |                 |
| OGC Gateway Process                       | Gate 4 Readiness for Service  | SRO, PB, PMO, JC      | твс               |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     |   |   |   |     | T       |           |                 |
| OGC Gateway Process                       | Gate 5 Operations Review & Benefits   | SRO,PB,PMO, JC        | TBC               |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     |   |   |   |     | $\Pi$   |           |                 |
| Project Implementation Plan               | Live document   | SRO, PMO, PB, JC, JSC | Jan-20            |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     |   |   |   |     | П       |           |                 |
| Project business case                     | Live document   | SRO, PMO, PB, JC, JSC | Nov-20            |  |   |      |   |   |      | x       | x   | x | x x |   |   |     |   |     |   |     |   |   |   |     |         |           |                 |
| Project issues log                        | Live document   | SRO, PMO, PB, JC, JSC | Feb-21            |  |   |      |   |   |      | x       | x   | x | x x | х | x | x x | x | x x | x | x x | × | x | x | x x | . х     |           |                 |
| Project risk reg                          | Live document   | SRO, PMO, PB, JC, JSC | Feb-21            |  |   |      |   |   |      | х       | x   | х | x x | х | x | x x | x | x x | x | x x | × | х | x | x x | . х     |           |                 |
| Project M & E plan                        | Live document   | SRO, PMO, PB, JC, JSC |                   |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     |   |   |   |     | Ħ       |           |                 |
| UK and Welsh Government feedback sessions | Business Case feedback session  | SRO, CCC              | Feb-21            |  |   |      |   |   |      |         |     |   |     | x | x |     |   |     |   |     |   |   |   |     |         |           |                 |
| UK/WG Challenge Sessions                  | Review of first iteration of Full Business<br>Case CSFs, rationale, achievability and<br>strategic alignment in 2018. |                       | March & June 2018 |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     |   |   |   |     |         |           |                 |
| Zone 1 - Project Execution Plan           | Live document   |                       | Oct-21            |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     | x | х | x | x x | . х     | NEC Co    | ontract         |
| Zone 1 - Monthly Update Report            | Monthly reporting by Bouygues UK and<br>Gleeds on Zone 1 construction   |                       | Oct-21            |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     |   | x | x | x x | x       |           |                 |
|   |   | •                     |                   |  |   |      | 1 |   |      | <br>- J |     |   |     |   |   |     |   |     | 1 |     |   |   | J |     |         |           |                 |
| Programme Level                           |   |                       |                   |  |   |      |   |   |      |         |     |   |     |   |   |     |   |     |   |     |   |   |   |     |         |           |                 |
| Joint Committee                           | Meetings  | SRO, JC, PMO          | Feb-21            |  |   | x    |   |   |      |         |     |   | x x |   |   |     |   |     |   |     |   |   |   |     | П       |           |                 |
| Programme Board                           | Meetings  | SRO, PB,PMO           | Feb-21            |  |   |      |   |   |      |         |     | x |     |   |   |     |   |     |   |     |   |   |   |     | $\prod$ |           |                 |
| Ec Strategy Board                         | Meetings  | SRO,ESB.PMO           | Feb-21            |  | x |      |   |   |      |         | x   |   |     |   |   |     |   |     |   |     |   |   |   |     | E       | SB member | s met individua |
| Joint Scrutiny Committee                  | Meetings  | SRO, JSC, PMO         | Feb-21            |  |   |      |   | х |      |         |     |   |     |   |   | x   |   |     |   |     |   |   |   |     | $\prod$ |           |                 |
|   |   | 1                     |                   |  |   |      |   | L |      |         | 1 1 |   |     |   |   |     |   |     |   |     |   |   |   |     | ᆂ       |           |                 |

The meetings above do not include speciality group meetings which continue to the overall work specification - For example - Therapies group, physicians , hospital managers, primary care , social care (HDUHB/SBUHB)

<sup>\*</sup>The risk register is also a standing item at each workstream meeting

 $<sup>\</sup>hbox{\tt **This is covered within each workstream to ensure continuous service improvement}\\$ 

<sup>\*\*\*</sup>Please note the Health and Wellbeing and Education Strategic Groups have completed on their remit and delivered respective strategies. These Groups have subsequently been superseded by their corresponding Implementation Groups.



|                                   |                                       |                       |                  |       |        |     | 2019/202 | 0   |     |     |         |         | 202     | 0/2021 |     |        |     |            |            |         |     | /2022   |     |        |         |               |               | 2022/20       |        |               |       | Comm    |
|-----------------------------------|---------------------------------------|-----------------------|------------------|-------|--------|-----|----------|-----|-----|-----|---------|---------|---------|--------|-----|--------|-----|------------|------------|---------|-----|---------|-----|--------|---------|---------------|---------------|---------------|--------|---------------|-------|---------|
| Assurance / approval / Reporting  | Activity/Product                      | Primary client        | Last review date | Apr   | Inc.   | Sep | No Sci   | Dec | Feb | Mar | Apr     | Мау     | E C     | Jul    | Sep | No Ce  | Dec | Feb<br>Mar | Apr<br>May | Jul Jul | Sep | Nov Nov | Dec | Jan    | Mar     | May           | Jul           | Sep           | No.    | Dec           | Mar   |         |
| vernance                          |                                       |                       |                  |       |        |     |          | *   |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        |               |       | _       |
| oject board                       | Live document                         | CCS                   | Jan-20           |       |        |     |          | x   | x   | x   | x       | x       | ×       | x x    | x   | x x    | x x | x x        | x x        | x x     | x x | x x     | х   | хх     | x x     | x x           | x x           | х у           | ( x :  | x x :         | ( x N | /onthly |
| ty and County of Swansea          | Council Meetings                      | CCS                   | Jan-20           |       |        |     |          | x   | x   | x   | x       | x       | x       | x x    | x   | x x    | х х | хх         | x x        | x x     | x x | x x     | x   | хх     | хх      | x x           | x x           | х )           | ı x    | x x :         | c x N | /onthly |
| WTSD                              | UWTSD meetings                        | UWTSD                 | Jan-20           |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        | itti    |               | T             |               |        |               |       |         |
| roject Partners                   | Meetings                              | Partners              | Jan-20           |       |        |     |          | x   | x   | x   | x       | x       | x       | x x    | x   | хх     | х х | x x        | хх         | x x     | x x | x x     | х   | хх     | хх      | x x           | x x           | х >           | ( x )  | x x :         | c x N | /onthly |
| oint Committee                    | Meetings                              | SRO, JC, PMO          | Jan-20           |       |        |     |          | x   | x   | x   | x       | x       | x       | x x    | x   | x x    | х х | хх         | x x        | x x     | x x | x x     | x   | хх     | хх      | x x           | x x           | x x           | ı x    | x x :         | c x N | /onthly |
| rogramme Board                    | Meetings                              | SRO, PB,PMO           | Jan-20           |       |        |     |          | x   | x   | x   | x       | x       | x       | x x    | x   | x x    | х х | хх         | x x        | x x     | x x | x x     | x   | хх     | хх      | x x           | x x           | x x           | ı x    | x x :         | c x N | /onthly |
| c Strategy Board                  | Meetings                              | SRO,ESB.PMO           | Jan-20           |       |        |     |          | x   | x   | x   | x       | x       | x       | x x    | x   | x x    | х х | хх         | x x        | x x     | x x | x x     | x   | хх     | хх      | x x           | x x           | х )           | ı x    | x x :         | c x N | /onthly |
| SC                                | Meetings                              | SRO, JSC, PMO         | Jan-20           |       |        |     |          |     |     | x   |         | x       |         | x      | x   | x      | x   | x          | x x        | x x     | x x | x x     | x   | хх     | хх      | x x           | x x           | х )           | ı x    | x x :         | c x N | /onthly |
|                                   |                                       |                       |                  |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        | i I I I |               |               |               |        | $\neg$        |       |         |
|                                   |                                       |                       |                  |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        | i I I I |               |               |               |        | $\neg$        |       |         |
|                                   |                                       |                       |                  |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        | i I I I |               |               |               |        | $\neg$        |       |         |
|                                   |                                       | _                     | •                |       |        |     |          |     |     |     | •       |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        |               | , _   |         |
| ssurance                          |                                       |                       |                  |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        |               |       |         |
| GC Gateway Process                | Risk Potential Assessment             | SRO, PB, PMO          |                  |       | $\top$ |     |          | x   | ×   |     |         |         |         |        |     | $\neg$ |     |            |            | -1      |     |         |     | $\top$ | $\Box$  |               | $\Box \Box$   |               | $\top$ | $\neg \neg$   | П     | _       |
| GC Gateway Process                | Gate 0 Programme Strategic Assessment | SRO, PB, PMO, JC      | TBC              |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        | $\neg$        |       |         |
| GC Gateway Process                | Gate 1 Business Justification         | SRO, PB, PMO, JC      | TBC              |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        | $\neg$        |       |         |
| GC Gateway Process                | Gate 2 Delivery Strategy              | SRO, PB, PMO, JC      | TBC              |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        | $\neg$        |       |         |
| GC Gateway Process                | Gate 3 Investment Decision            | SRO, PB, PMO, JC      | TBC              |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               | x             |               |        | $\neg$        |       |         |
| OGC Gateway Process               | Gate 4 Readiness for Service          | SRO,PB,PMO, JC        | TBC              |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        | $\neg$        |       |         |
| IGC Gateway Process               | Gate 5 Operations Review & Benefits   | SRO,PB,PMO, JC        | TBC              |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        | $\neg$        |       |         |
| roject Implementation Plan        | Live document                         | SRO, PMO, PB, JC, JSC | Jan-20           |       |        |     |          | x   |     |     | x       |         |         | x      |     | x      | ×   |            |            |         |     |         |     |        |         |               |               |               |        | $\neg$        |       |         |
| roject business case              | Live document                         | SRO, PMO, PB, JC, JSC |                  |       |        |     |          |     |     | x   |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        | $\neg$        |       |         |
| roject issues log                 | Live document                         | SRO, PMO, PB, JC, JSC | Jan-20           |       |        |     | ¥        | ¥   |     | x   |         | ×       |         | x      | ¥   | ×      | ×   | ×          | ×          | ×       | ×   | ×       |     | ¥      | ×       | x             | ×             | ×             | ×      | x             | x     |         |
| roject risk reg                   | Live document                         | SRO, PMO, PB, JC, JSC | Jan-20           |       |        |     | x        | x   |     | x   |         | x       |         | x      | x   | ×      | ×   | x          | x          | x       | x   | x       |     | x      | x       | x             | x             | x             | x      | x             | x     |         |
| Jk and Welsh Gov Policy Workshops | Meetings                              | SRO, PMO              | Jan-20           |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        | $\neg$        |       |         |
|                                   |                                       | , .                   |                  |       |        |     |          |     |     | 1   |         |         |         |        |     |        |     |            |            |         |     |         |     | +      | ГĦ      | +             | 7             | =             | ++     | ++            |       |         |
|                                   |                                       |                       |                  |       |        |     |          |     |     | 1   |         |         |         |        |     |        |     |            |            |         |     |         |     | +      | ГĦ      | +             | 7             | =             | ++     | ++            |       |         |
|                                   |                                       |                       |                  |       |        |     |          |     |     | 1   |         |         |         |        |     |        |     |            |            |         |     |         |     | +      | ГĦ      | +             | 7             | =             | ++     | ++            |       |         |
|                                   |                                       |                       |                  |       |        |     |          |     |     |     |         |         |         |        |     | -      |     | $\neg$     |            | -       |     | -       |     | +      | $\Box$  | $\neg$        | -             | -             | +      | +             | +     |         |
|                                   | <u> </u>                              | l                     | 1                |       |        |     |          |     | -   | 1   | L       |         |         |        |     |        | _   |            |            |         | _   |         |     | _      | —       | 一一            |               |               |        |               |       |         |
| udit and risk                     |                                       |                       |                  |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         |               |               |               |        |               |       |         |
| udit                              | Audit                                 | SRO, PB, PMO, JC      | 1                | 1 1 1 | 1      | 1 1 |          | IA. | IA  | IA  | WAO/NAO | WAO/NAO | WAO/NAO |        |     |        |     |            |            |         |     |         |     |        | ГŤ      | $\overline{}$ | $\overline{}$ | $\overline{}$ | T      | $\overline{}$ |       | _       |
| sk Critical Friends               | Meetings                              | PMO, IA, Proc, Risk   |                  |       | +      |     |          | X   |     | x   | O/NAO   |         | oneno   |        |     | x x    | x   | -          |            |         | +   |         |     | +      | -       | $\dashv$      | -             |               | ++     | $\rightarrow$ |       |         |
| ssons learned workshop            | Meetings                              | Partners              |                  |       |        |     |          |     |     |     |         | 1       |         |        |     | 1      |     |            |            |         |     |         |     |        | at t    | $\neg$        | H             |               |        | $\neg$        |       |         |
| omms group                        | Meetings                              | Partners              |                  |       |        |     |          |     |     | x   |         |         | x       |        | x   |        | x   | x          |            |         |     |         |     |        | لللت    |               |               |               |        |               |       |         |
|                                   |                                       |                       |                  |       |        |     |          |     |     |     |         | 1       |         |        |     |        |     |            |            |         |     |         |     |        | ı T     |               | . TT          | . 🗆           |        | T             |       |         |
|                                   |                                       |                       |                  |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         | $\Box$        | П             | . —           |        |               |       |         |
|                                   |                                       |                       | 1                |       |        |     |          |     |     |     |         |         |         |        |     |        |     |            |            |         |     |         |     |        |         | $\Box$        | , TI          |               |        | $\neg \neg$   |       |         |
|                                   |                                       |                       |                  |       |        |     |          |     | 1   | 1   |         |         |         |        |     |        |     | -          |            | $\neg$  |     | +       | _   |        | -       | -t            | -             | . — —         | +      | $\neg \neg$   |       |         |

Yr Egin - Phase 1
Integrated Assurance and Approval Plan

|                                   |                                       |                       |                     |     |        |     | 2019/    | 2020 |     |       |      |       | Ţ   |       |       |     |          | 2020     | /2021    |          |     |     |     |              |      |     |     |     | 202 | 21/202 | 2            |        |        |  |     |  |
|-----------------------------------|---------------------------------------|-----------------------|---------------------|-----|--------|-----|----------|------|-----|-------|------|-------|-----|-------|-------|-----|----------|----------|----------|----------|-----|-----|-----|--------------|------|-----|-----|-----|-----|--------|--------------|--------|--------|--|-----|--|
| Assumes Assumes (Paradian         | A still test Does does                | Deleven allant        | $\vdash$            |     |        | _   | 2019/    | 2020 |     |       |      |       | +   | _     | _     | 1   | 1        |          |          | - 1      |     | -   |     | +            | _    |     | 1   | 1   | 702 | 21/202 | <del>-</del> | -      | -      | -  | _   | Comments   |
| Assurance / approval / Reporting  | Activity/Product                      | Primary client        | Apr                 | May | un Inc | Aug | Sep      | Oct  | No. | Dec . | Jan  | Feb   | Δŋ  | May   | Jun   | Inc | Aug      | Sep      | Oct      | Nov      | Dec | Jan | Feb | INIAI<br>And | Way. | Jun | Ιης | Aug | Sep | Oct    | Nov          | Dec    | Jan    | Feb  | Mar |  |
| Governance                        |                                       |                       |                     |     |        |     |          |      |     |       |      |       |     |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        |  |     |  |
| Project board                     | Live document                         | CCC                   | ш                   |     |        |     |          |      |     |       | x    | x x   | د ، | x x   | x     | х   | х        | х        | х        | х        | х   | x   | х   | x >          | ( )  | ( x | х   | х   | х   | х      | х            | х      | х      | х  | х   | monthly meeting  |
| Carmarthenshire County Council    | Council Meetings                      | CCC                   | ш                   |     |        |     |          |      |     |       | x    | x x   | د ، | x x   | x     | х   | х        | х        | х        | х        | х   | x   | х   | x >          | ( )  | ( x | х   | х   | х   | х      | х            | х      | х      | х  | х   | monthly meeting  |
| UWTSD                             | Meetings                              | UWTSD                 | ш                   |     |        |     |          |      |     |       | _    | x x   | رے  | x x   |       | х   | х        | х        | х        | х        | х   | х   | х   | x >          | ( )  | ( x | х   | х   | х   | х      | х            | х      | х      | х  | х   | monthly meeting  |
| Joint Committee                   | Meetings                              | SRO, JC, PMO          | ┷                   |     |        |     |          |      |     |       | _    | x x   | ( ) | x x   |       | X   | х        | х        | х        | x        | х   | x   | х   | x >          | ( )  | ( X | х   | х   | х   | х      | _            | х      | х      | _  | x   | monthly meeting  |
| Programme Board                   | Meetings                              | SRO, PB,PMO           | ш                   |     | _      | 1   |          |      |     | _     | ^    | x x   | رے  | x x   | _ ^   | X   | х        | х        | х        | x        | х   | х   | x   | x >          | ( )  | ( x | х   | х   | х   | x      | х            | х      | х      | _ ^  | x   | monthly meeting  |
| Ec Strategy Board                 | Meetings                              | SRO,ESB.PMO           | ш                   |     | _      | 1   |          |      |     | _     | x    | x x   | رے  | x x   | _     | X   | х        | х        | х        | x        | х   | х   | x   | x >          | ( )  | ( x | х   | х   | х   | x      | х            | х      | х      | _  | x   | monthly meeting  |
| JSC                               | Meetings                              | SRO, JSC, PMO         | ш                   |     |        |     |          |      |     |       |      | х     | 4   | х     | _     | X   | 4        | х        |          | x        |     | х   |     | x >          | ( )  | ( x | х   | x   | х   | x      | x            | х      | х      | х  | X   | monthly meeting  |
|                                   |                                       |                       | $oldsymbol{\sqcup}$ |     | _      | 4   |          | _    | _   | _     | _    | _     | +   | _     |       |     | 4        | _        | $\vdash$ |          | _   | _   | _   | _            | _    | _   |     | 4   |     | 4      | _            | +      | ┿      | +-   | _   |  |
|                                   |                                       |                       | $oldsymbol{\sqcup}$ |     | _      | 4   |          | _    | _   | _     | _    | _     | +   | _     |       |     | 4        | _        | $\vdash$ |          | _   | _   | _   | _            | _    | _   |     | 4   |     | 4      | _            | +      | ┿      | 4  | _   |  |
|                                   |                                       |                       | $oldsymbol{\sqcup}$ |     | _      | 4   |          | _    | _   | _     | _    | _     | +   | _     |       |     | 4        | _        | $\vdash$ |          | _   | _   | _   | _            | _    | _   |     | 4   |     | 4      | _            | +      | ┿      | 4  | _   |  |
|                                   |                                       |                       | ш                   |     |        |     |          |      |     | L     |      |       | ㅗ   |       |       |     | <u> </u> |          |          |          |     |     | L   |              |      |     |     | 1   |     |        |              | 丄      | —      | 丄  |     | J  |
|                                   |                                       |                       |                     |     |        |     |          |      |     |       |      |       | _   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        | _  |     |  |
| Assurance                         |                                       |                       | _                   |     |        |     |          |      |     |       |      |       |     |       |       |     | _        |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        |  |     |  |
| OGC Gateway Process               | Risk Potential Assessment             | SRO, PB, PMO          | $\sqcup$            |     |        |     |          |      |     |       |      |       | 4   |       |       |     | _        |          |          |          |     |     |     |              |      |     |     |     |     |        |              | 4      | 4      | 4  |     | 4  |
| OGC Gateway Process               | Gate 0 Programme Strategic Assessment | SRO, PB, PMO, JC      |                     |     |        |     |          |      |     |       |      |       | 4   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | 4      | 4      | 1  |     | Project Approval, Build and Sign Off<br>Completed prior to 2019  |
| OGC Gateway Process               | Gate 1 Business Justification         | SRO, PB, PMO, JC      |                     |     |        |     |          |      |     |       |      |       | 4   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | 4      | 4      | 1  |     | Project Approval, Build and Sign Off<br>Completed prior to 2019  |
| OGC Gateway Process               | Gate 2 Delivery Strategy              | SRO,PB,PMO, JC        |                     |     |        |     |          |      |     |       |      |       | 4   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | 4      | 4      | 1  |     | Project Approval, Build and Sign Off<br>Completed prior to 2019  |
| OGC Gateway Process               | Gate 3 Investment Decision            | SRO,PB,PMO, JC        |                     |     |        |     |          |      |     |       |      |       | 4   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | 4      | 4      | 1  |     | Project Approval, Build and Sign Off<br>Completed prior to 2019  |
| OGC Gateway Process               | Gate 4 Readiness for Service          | SRO,PB,PMO, JC        |                     |     |        |     |          |      |     |       |      |       | 4   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | 4      | 4      |  |     | Project Approval, Build and Sign Off<br>Completed prior to 2019  |
| OGC Gateway Process               | Gate 5 Operations Review & Benefits   | SRO,PB,PMO, JC        | Ш                   |     |        |     |          |      |     |       |      |       | ⊥   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        | x            | Ш.     | ╙      | $oldsymbol{\perp}$   |     | Formal Gateway 5 Review completed -<br>Green Standard Awarded  |
| Project Implementation Plan       | Live document                         | SRO, PMO, PB, JC, JSC |                     |     |        |     |          |      |     |       |      |       | ᆚ   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | ╙      | ╙      | $oldsymbol{ol}}}}}}}}}}}}}}}}}}$ |     | Completed and approved prior to<br>operational phase   |
| Project business case             | Live document                         | SRO, PMO, PB, JC, JSC |                     |     |        |     |          |      |     |       |      |       |     |       |       |     | x        |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        |  |     | Business case completed but will be<br>updated in line with requirements from<br>IAAP going forward                                |
| Project issues log                | Live document                         | SRO, PMO, PB, JC, JSC |                     |     |        |     |          |      | x   |       | x    | х     | ı   | х     | :     | x   |          | x        |          | x        |     | x   |     | ĸ            |      |     |     |     |     |        |              |        |        |  |     | Operational issues register now utilised   |
| Project risk reg                  | Live document                         | SRO, PMO, PB, JC, JSC |                     |     |        |     |          |      | X   |       | x    | х     | ı   | х     | :     | X   |          | х        |          | x        |     | x   |     | x            |      |     |     |     |     |        |              | L      | Ш      |  |     | Operational Risk Register now utilised   |
| Project M & E plan                | Live document                         | SRO, PMO, PB, JC, JSC |                     |     |        |     |          |      |     |       |      |       |     |       |       |     | x        |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        |  |     | Project M&E plan completed, but will be<br>reviewed in line with requirements of<br>IAAP - Provisional Date for review<br>inputted |
| Uk and Welsh Gov Policy Workshops | Meetings                              | SRO, PMO              |                     |     |        |     |          |      |     |       |      |       | T   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | T      |        | $\Box$   |     | Project in operational phase   |
|                                   |                                       |                       | Ш                   |     |        |     |          |      |     |       |      |       | I   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        |  |     |  |
|                                   |                                       |                       | Ш                   |     |        |     |          |      |     |       |      |       | I   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        |  |     |  |
|                                   |                                       |                       | ш                   |     |        |     |          |      |     |       |      |       | L   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | ┸      |        | ш  |     |  |
|                                   |                                       |                       | Ш                   |     |        |     |          |      |     |       |      |       | ┸   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | L      |        | ш  |     |  |
|                                   |                                       |                       |                     |     |        |     |          |      |     |       |      |       |     |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        |  |     |  |
| Audit and risk                    |                                       |                       |                     |     |        |     |          |      |     |       |      |       |     |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              |        |        |  |     |  |
| Audit                             | Audit                                 | SRO, PB, PMO, JC      |                     |     |        |     |          | T    |     |       | IA I | IA IA | A W | AO WA | AO WA | 0   | IA       |          |          |          |     | T   | T   | Τ            | T    | T   |     |     |     | Τ      |              |        |        |  |     | annual audit   |
| Risk Critical Friends             | Meetings                              | PMO, IA, Proc, Risk   | $\mapsto$           |     |        | 1-  | $\vdash$ | -+   |     |       | _    |       | _   | -     | -     | 1   | 1        | 1        | H        |          | x   |     | -   | +            | +    | +   | +-  | 1   | +-  | +-     | -            | +      | +      | +  | +   | +  |
|                                   | Meetings<br>Meetings                  | Partners              | $\vdash$            |     |        | 1-  |          |      |     |       | x    | х х   | +   |       | +     | +-  | 1        | 1        | х        | x        | х   |     | -   | +            | +    | +   | +-  | 1   | +-  | +-     | -            | +      | +      | +  | +   | Lessons Learned Workshop has   |
| Lessons learned workshop          | Meetings                              | Partners              | igspace             |     | _      | 1_  |          |      |     |       |      | -     | +   | _     |       | -   | <u> </u> | <u> </u> | x        |          |     | _   | _   | $\perp$      | _    | _   | 1   | 1   | 1   | -      |              | $\bot$ | $\bot$ | $\bot$   |     | informed Egin Phase 2 Progress   |
| Comms group                       | weenings                              | railleis              | $\vdash$            |     | +      | +-  | $\vdash$ | -+   | -+  | -     | -    | х     | 4   | +     | х     | +-  | +-       | x        | $\vdash$ | $\vdash$ | х   | -   | -   | x            | +    | +   | ┿   | +   | ┿   | +      | +-           | +      | +-     | +  | +   | 4  |
|                                   | -                                     | +                     | $\mapsto$           |     |        | 1-  | $\vdash$ | -+   |     |       |      |       | +   |       | +     | +-  | 1        | 1        | $\vdash$ | $\vdash$ | -+  |     | -   | +            | +    | +   | +-  | 1   | +-  | +-     | -            | +      | +      | +  | +   | +  |
|                                   | -                                     | +                     | $\mapsto$           |     |        | 1-  | $\vdash$ | -+   |     |       |      |       | +   |       | +     | +-  | 1        | 1        | $\vdash$ | $\vdash$ | -+  |     | -   | +            | +    | +   | +-  | 1   | +-  | +-     | -            | +      | +      | +  | +   | +  |
|                                   | -                                     | +                     | $\mapsto$           |     |        | 1-  | $\vdash$ | -+   |     |       |      |       | +   |       | +     | +-  | 1        | 1        | $\vdash$ | $\vdash$ | -+  |     | -   | +            | +    | +   | +-  | 1   | +-  | +-     | -            | +      | +      | +  | +   | +  |
|                                   |                                       |                       | ш                   |     |        | _1  |          |      |     |       |      |       | ᆂ   |       |       |     |          |          |          |          |     |     |     |              |      |     |     |     |     |        |              | ш      |        |  |     |  |



| City Dear                                  | integrated Assurance and A                | ***************************************        |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
|--|---|--|------------------|---------|---------------|---------|-----|------------|----------|---|---------------|-----|---------|---------|---------|-------|------|-------|-----|---------|-------|----------|---------|-----|----------|----------|-----|--------|----------|--|-----------------|
|  |   |  |                  |         |               |         |     | 2019/2     | 020      |   |               |     |         |         | 2020    | /2021 |      |       |     |         |       |          |         |     | 202      | 1/2022   |     |        |          | Comments   |                 |
| Assurance / approval / Reporting           | Activity/Product                          | Primary client                                 | Last review date | tay fay | un            | ug      | èep | 2ct<br>fov | ec<br>an |   | de:           | Aar | φr      | fay     | un      | lul o | des  | Oct   | 960 | an      | eb    | νo.      | un      | lul | dec      | t Sc     | 960 | Jan    | de:      | Aar  |                 |
| iovernance                                 |   |  |                  | ` 2     | 171           | / 4     | 0)  | 0 2        |          |   | ш.            | -   | _ `     | -       |         |       | 4 0) | 0 2   |     | 171     | H   E | ` .      |         | , 4 | 0)       | , , ,    |     |        | т.       |  |                 |
| Project Board                              | Live document                             | PCC,Partners PoMo                              | Oct-21           | TI      |               |         |     |            | x        |   | x             | x   | x       | x       |         |       |      | 1     | х х | х       | хх    | x        | x x     | x x | x        | x        | x x | x      | x        | x Partners proposing monthly updat   | ate meetings in |
| Pembrokeshire County Council               | Council Meetings                          | PCC, MHPA                                      | Jan-20           |         | +             |         |     |            | x        |   | x             | x   | x       | x       | x       | x )   | x x  |       |     |         |       |          |         |     |          |          |     |        |          | x monthly meeting  | 7               |
| Ailford Haven Port Authority               | internal PDI meetings                     | MHPA   |                  |         | +             |         |     |            |          |   | -             |     | -       |         | -       |       |      |       |     | -       |       |          |         |     |          | m        |     |        | -        |  | 1               |
| •  | _   |  | Oct-21           |         |               |         |     |            | x        |   | x             | x   | x       | x       | x       | x >   | x x  | x 3   | x x | x       | x x   | x        | x x     | x x | x        | x        | x x | x      | x        | x PDI board meet weekly  |                 |
|  | DD7                                       | WDSL   |                  |         | -             |         |     | -          |          | _ |               |     |         |         |         |       |      |       |     | +       |       |          | _       | _   |          | -        | _   | _      | $\vdash$ |  |                 |
| Celtic Sea Power                           | PDZ meetings                              | WDSL   | Oct-21           |         |               |         |     |            | x        |   | x             | x   | x       | x       | x       | x >   | x x  | x 3   | x x | x       | x x   | x        | x x     | x x | x        | x        | x x | x      | x        | x Monthly progress meeting   |                 |
| ORE Catapult                               | MEECE update                              | OREC   | _                | +       | ++            | +       |     | -          |          | _ |               |     |         |         |         | -     |      | -     | _   | +       | -     |          | -       | _   | _        | ++       | _   | +      | $\vdash$ | _  | 1               |
| RE Catapuit                                | MEECE update                              | OREC   | Oct-21           |         |               |         |     |            | ×        |   | x             | x   | x       | x       | x       | x >   | x x  | x 3   | x x | x       | x x   | x        | x x     | x x | x        | x        | x x | x      | x        | x  |                 |
| Pembrokeshire Couast Forum                 | META update                               | PCF  |                  | +       | ++            | +       | _   | +          |          | _ | _             |     |         |         |         |       | _    |       |     | +       | _     |          | +       | +   |          |          | _   | +      | Н        | +  | 1               |
| emblokeshire Couast Forum                  | META update                               | PGF  | Oct-21           |         |               |         |     |            | ×        |   | x             | x   | x       | ×       | x       | x   , | x x  | x   ; | x x | ×       | x x   | x        | k x     | x x | ×        | x        | x x | ×      | x        | x Monthly progress meeting   |                 |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| Partner collaboration meetings             | Collaboration meetings to govern progress | Partners                                       | D 04             |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       | 1.  | 11      | .     |          |         |     |          |          | [   |        |          | We have held the First   | 1               |
|  |   |  | Dec-21           | 1 1     |               |         |     |            |          |   |               |     |         |         |         |       |      |       | x   | x       | x x   | x        | K X     | x x | x        | x        | x x | x      | x        | <ul> <li>Collaboration meeting and this<br/>will now progress during 2022</li> </ul> | 1               |
|  |   |  |                  | ++-     | ++            | +       |     | -          |          |   |               |     |         |         |         | ++    | +    | ++    | +   | +       | +     | +        | +       | _   | +        | ++       | +   | +      | $\vdash$ | will now progress duffing 2022   | -               |
|  |   | l  | 1                | ++      | +             | +       |     | -          |          | + | _             | -   |         |         |         | +     | +    | +     | +-  | +       |       | t t      | +       | +   | 1        | ++       | +   | +      | H        | +  | +               |
|  |   | 1  | +                | ++      | ++            | +       |     | -          |          | + | -             |     |         |         |         | ++    | +    | ++    | +-  | +       | -     | H        | +       | +   | 1        | +        | +   | +      | $\vdash$ | +  | -               |
|  |   |  | -                | +       | +             | -       |     | -          |          |   |               | _   |         |         |         | ++    | -    | ++    | -   | +       |       | $\vdash$ | +       | _   | -        | ++       | -   | +      | +        |  |                 |
|  |   |  | _                | +       | ++            | +       |     | -          |          | _ |               | -   |         |         |         | -     |      | -     | _   | +       | -     | H        | -       | _   | _        | ++       | _   | +      | $\vdash$ |  | -               |
|  |   |  |                  |         | -             |         |     | -          |          | _ |               |     |         |         |         |       |      |       |     | +       |       |          | _       | _   |          | -        | _   | _      | $\vdash$ |  | _               |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       | _   |         | _     |          |         |     |          |          |     |        |          |  |                 |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       | $\perp$  |         |     |          |          |     |        |          |  |                 |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| ssurance                                   |   |  | _                |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| IGC Gateway Process                        | Risk Potential Assessment                 | SRO, PB, PMO                                   |                  |         |               |         |     |            | x        |   | x             |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| GC Gateway Process                         | PAR                                       |  |                  |         |               |         |     |            |          |   | x             |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| GC Gateway Process                         | Gate 0 Programme Strategic Assessment     | SRO, PB, PMO, JC                               | TBC              |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| IGC Gateway Process                        | Gate 1 Business Justification             | SRO, PB, PMO, JC                               | TBC              |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| OGC Gateway Process                        | Gate 2 Delivery Strategy                  | SRO,PB,PMO, JC                                 | TBC              |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| OGC Gateway Process                        | Gate 3 Investment Decision                | SRO,PB,PMO, JC                                 | TBC              |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| IGC Gateway Process                        | Gate 4 Readiness for Service              | SRO,PB,PMO, JC                                 | TBC              |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     | $\top$  |       | Ħ        |         |     |          |          |     |        | П        | 1  |                 |
| IGC Gateway Process                        | Gate 5 Operations Review & Benefits       | SRO,PB,PMO, JC                                 | TBC              |         | +             |         |     |            |          |   |               |     |         |         |         |       |      |       |     | +       |       | tt       |         |     |          |          |     | $\top$ |          | _  |                 |
| roject Implementation Plan                 | Live document                             | SRO, PMO, PB, JC, JSC                          | Jan-20           | 1       | +             | $\pm$   |     | -          | x        |   |               |     | x       |         |         | x     | _    | x     | +   | x       |       | t t      | +       |     |          |          |     | $\pm$  | H        | +  | 1               |
| roject business case                       | Live document                             | SRO, PMO, PB, JC, JSC                          | Jairzu           | ++      | ++            | +       |     | +          | *        | + | -+            | x   | ^       |         |         | +^-   | +    | +^-   | +   | +^+     | -     | $\vdash$ | +       | +   | <b>+</b> | ++       | +   | +      | $\vdash$ | +  | 1               |
| roject business case<br>Project issues log | Live document                             | SRO, PMO, PB, JC, JSC                          | Jan-20           | + +     | ++            | +       | -+  | x          | x        | _ | $\rightarrow$ | x   |         | x       |         | x     | ×    | ٠,    | _   | x       | -     |          |         | x   | -        |          |     | +-     |          | +  | -               |
|  |   | SRO, PMO, PB, JC, JSC                          |                  | ++      | ++            | +       |     |            |          |   | -             |     |         |         |         |       |      |       | _   | _       | ×     | _        | _       | _   | ×        | _        | _   | x      |          |  | -1              |
| roject risk reg                            | Live document Live document               | SRO, PMO, PB, JC, JSC<br>SRO, PMO, PB, JC, JSC | Jan-20           | ++      | ₩             | +       |     | x          | х        | - |               | x   |         | x       |         | x     | x    |       | x   | x       | x     | $\vdash$ | K       | x   | X        |          | x   | X      | $\vdash$ | <u>*</u>   | -               |
| roject M & E plan                          |   |  | 1                | +       | ++            | $\perp$ |     | -          |          |   |               |     |         |         |         |       | +    |       |     | +       |       | 1        | 4       | _   | _        | -        |     | -      | $\vdash$ |  | -               |
| Ik and Welsh Gov Policy Workshops          | Meetings                                  | SRO, PMO                                       | Jan-20           | $\perp$ | $\perp \perp$ |         |     |            |          |   |               |     |         |         |         |       | _    |       |     | $\perp$ |       | ₽        | $\perp$ |     | _        | $\sqcup$ |     |        | $\sqcup$ |  | 4               |
|  |   |  |                  | $\perp$ | $\perp \perp$ |         |     |            |          |   |               |     |         |         |         |       | _    |       |     | $\perp$ |       | ₽        | $\perp$ |     | _        | $\sqcup$ |     |        | $\sqcup$ |  | 4               |
|  |   |  |                  |         |               | 44      |     |            |          |   |               |     |         |         |         |       |      |       |     | $\perp$ | _     | Ш        |         |     |          | ш        |     | _      | ш        |  | 1               |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     | ШĪ      |       | Ш        |         |     |          | Ш        |     |        | Ш        |  |                 |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| udit and risk                              |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
| Audit                                      | Audit                                     | SRO, PB, PMO, JC                               |                  |         |               |         |     |            | IA.      |   | IA            | IA  | WAO/NAO | WAO/NAO | WAO/NAO |       |      |       |     |         |       | П        |         |     |          |          |     |        |          | annual audit   | 1               |
| tisk Critical Friends                      | Meetings                                  | PMO, IA, Proc, Risk                            |                  |         |               |         |     |            | х        |   | x             | x   |         |         |         |       |      | х з   | x x |         |       |          |         |     |          |          |     |        |          |  |                 |
|  |   | 1  |                  |         |               | T       |     |            |          | Т |               |     |         |         |         |       |      |       |     |         | П     | П        | П       | Т   |          | П        | Т   | Т      | П        |  |                 |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     |         |       |          |         |     |          |          |     |        |          |  |                 |
|  |   |  |                  |         |               |         |     |            |          |   |               |     |         |         |         |       |      |       |     | $\Box$  |       |          | $\Box$  |     |          | П        |     |        |          |  |                 |
|  |   |  |                  |         | H             |         |     |            |          |   |               |     |         |         |         |       |      |       |     | H       |       | H        |         |     |          |          |     |        |          |  |                 |

|                                  |                                       | _                     | _                 |               |          |             |         |          | _             |          |          |             |      |          |              |     |          |               |         | _        |     | _   |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  |                |
|----------------------------------|---------------------------------------|-----------------------|-------------------|---------------|----------|-------------|---------|----------|---------------|----------|----------|-------------|------|----------|--------------|-----|----------|---------------|---------|----------|-----|-----|------------|---------|----------|------------------|---------------|------------|-------|-----|---------|---------|----------|---------------|---------------|--------|------|-------------|----------|---------------|-----|---------|------------------|----------------|
|                                  | Yr Egin - Phase 2                     | A                     |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  |                |
|                                  | Integrated Assurance and              | Approval Plan         |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  |                |
| Assurance / approval / Reporting | Activity/Product                      | Primary client        | Apr<br>May<br>Jun | Jul<br>Aug    | 2019/202 | 20<br>3 3 3 | a la    | Mar 66   | Apr           | Jul Jul  | Eny deg  | 20/2021<br> | Nov. | <u>s</u> | Ner 160      | Apr | Jun Jun  | Bry deg       | 21/2022 | ) No.    | E 8 | Mar | Apr<br>May | un 1    | Bry Bry  | 2022/2023<br>S S | No.           | 8 <u>8</u> | Ne do | Apr | 5 5     | Jul guy | 2023/202 | i vo          | a Lec         | Nar Go | y de | un n        | Jul      | 2024/202      | 25  | Jan Dec | 8                | Co             |
| vernance                         | 1                                     | 1                     |                   |               | 1 1      |             |         |          |               |          |          | 1 1         |      |          |              |     |          |               |         | _   _    |     |     |            |         | 1 - 1    | -                |               | -   -      | _   - |     | -       |         |          |               |               |        |      | _           |          | 1 1           | 1-1 |         |                  |                |
| oject board                      | Live document                         | CCC                   |                   |               |          |             | х       | x x      | x x x x x x x | x x      | x x      | x           | x x  | x        | x x          | x x | x x      | x x           | x       | x x      | x x | х   | x x        | X 1     | c x      | x x              | х :           | x x        | x x   | x > | c x     | x x     | x x      | x             | x x           | x x    | х :  | x x         | x x      | х >           | x x | x x     | х                | x mor          |
| rmarthenshire County Council     | Council Meetings                      | ccc                   |                   |               |          |             | x       | x x      | x x           | x x      | x x      | x           | x x  | x        | x x          | x x | x x      | x x           | x       | x x      | x x | x   | x x        | ж 1     | c x      | x x              | х :           | x x        | x x   | x x | c x     | x x     | x x      | x             | x x           | x x    | ж :  | ¢ X         | x x      | х >           | x x | x x     | x                | x mor          |
| WTSD                             | Meetings                              | UWTSD                 |                   |               |          |             | x       | x x      | x x           | x x      | x x      | x           | x x  | x        | x x          | x x | x x      | x x           | x       | x x      | x x | x   | x x        | x :     | c x      | x x              | х :           | x x        | x x   | х э | c x     | x x     | x x      | x             | x x           | x x    | ж :  | x x         | x x      | х >           | x x | x x     | x                | x mor          |
| int Committee                    | Meetings                              | SRO, JC, PMO          |                   |               |          |             | x       | x x      | x x           | x x      | x x      | x           | x x  | x        | x x          | x x | x x      | x x           | x       | x x      | x x | x   | x x        | x :     | c x      | x x              | х :           | x x        | x x   | х э | c x     | x x     | x x      | x             | x x           | x x    | ж :  | x x         | x x      | х >           | x x | x x     | x                | x mor          |
| ogramme Board                    | Meetings                              | SRO, PB,PMO           |                   |               |          |             | x       | x x      | x x           | x x      | x x      | x           | x x  | x        | x x          | x x | x x      | x x           | x       | x x      | x x | x   | x x        | X 1     | C X      | x x              | x :           | x x        | x x   | x > | c x     | x x     | x x      | x             | x x           | x x    | x :  | x x         | x x      | X >           | x x | x x     | x                | x mon          |
| : Strategy Board                 | Meetings                              | SRO,ESB.PMO           |                   |               |          |             |         |          | x x           |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  |                |
| šC                               | Meetings                              | SRO, JSC, PMO         |                   |               |          | $\perp$     |         | x        | x             | x        | x        | $\bot$      | x    | x        | x            | x x | x x      | x x           | x       | x x      | x x | x   | x x        | X 1     | c x      | x x              | х :           | x x        | x x   |     |         | x x     | x x      | x             | x x           | x x    | х :  | x 1         | x x      | x >           | x x |         |                  | x mor          |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     | _          |         |          |                  |               |            | _     |     |         |         |          |               |               |        |      |             |          | $\perp$       |     |         |                  | _              |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          | $\perp$     |      |          | $\perp$      | _   |          |               | $\perp$ |          |     |     |            |         |          |                  | $\perp$       | +          |       |     |         | _       |          | $\perp$       |               |        |      |             |          |               |     |         |                  | _              |
|                                  |                                       |                       |                   |               |          | $\perp$     |         | _        |               |          |          | $\bot$      | _    | $\perp$  |              |     |          |               | $\perp$ |          |     |     | _          |         |          | _                |               | $\perp$    |       |     |         | _       |          |               |               |        |      |             |          |               |     |         |                  |                |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  |                |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  |                |
| ssurance                         |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      | _           |          |               |     |         |                  |                |
| GC Gateway Process               | Risk Potential Assessment             | SRO, PB, PMO          |                   | $\perp \perp$ | -        |             | $\perp$ |          |               |          |          |             |      |          |              |     |          | $\perp \perp$ | $\perp$ |          |     | ++  |            | $\perp$ |          |                  | $\perp \perp$ |            |       |     | $\perp$ |         |          | $\perp \perp$ |               |        |      | 4           |          | $\perp \perp$ |     |         | $\perp \perp$    |                |
| GC Gateway Process               | Gate 0 Programme Strategic Assessment | SRO, PB, PMO, JC      |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | Pr<br>App      |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | Bu<br>Sk       |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | Cor<br>pr<br>2 |
| GC Gateway Process               | Gate 1 Business Justification         | SRO, PB, PMO, JC      |                   |               |          |             |         |          |               | $\neg$   |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     | 1 1     |         |          |               |               |        |      | $\top$      |          |               |     |         |                  | De             |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | S              |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | Cor<br>(A      |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | ×       |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | (A<br>21       |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | Res            |
|                                  | 1                                     |                       |                   |               |          |             |         |          |               |          |          | 1 1         | - 1  |          |              |     |          |               |         |          |     |     | - 1        |         |          | - 1              |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | 200            |
| GC Gateway Process               | Gate 2 Delivery Strategy              | SRO,PB,PMO, JC        |                   |               | +        | ++          | +       | +        | $\vdash$      | -        |          | +           | _    | ++       | +            | _   | $\vdash$ | ++-           | +       | +        |     | +   | _          | +       | +        | -                | +             | +          | _     |     | +       | _       |          | ++            | +             |        | +    | ++          |          | ++            | +   |         | ++               | Anti           |
| CO CHARMY FICCESS                | and a survey or angy                  | , b,, mo, so          |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               | 1.1        |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | Da             |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         |      |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               | ×          |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | Do             |
| GC Gateway Process               | Gate 3 Investment Decision            | SRO.PB.PMO. JC        | -                 | ++            | ++       | ++          | +       | -        | $\vdash$      | -H       | -+       | +           |      | +        | +            |     | $\vdash$ | ++            | ++      | +        |     | ++  |            | ++      | ++       | -                | ++            | +          | _     | +   | +       | -       | -+       | ++            | +             |        | +    | ++          | _        | ++            | +   | _       | ++               | Str<br>To      |
| GC Gateway Process               | Galle 3 IIWeserieri Decision          | SKU,FB,FMU, JC        |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | up             |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            | ×     |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | S              |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | Ap             |
| GC Gateway Process               | Gate 4 Readiness for Service          | SRO,PB,PMO, JC        |                   | +             | +        | +           | +       | $\dashv$ |               | +        |          | +           | -1-  | +        | +            |     | +        | +             | +       | +        |     | +   |            | +       | +        |                  | -             | +          | _     |     | +       | -       |          | +             | +             |        | 1 +  | +           | _        | +             | +   | _       | t - t            | TI             |
| y                                |                                       | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | ×             |     |         | 1 1              | disc           |
|                                  | 00                                    | 0000000000000         |                   | -             | -        | +           |         | -        |               |          |          | + +         | _    | -        | -            | _   | -        | -             | +       |          | _   | ++  | _          | -       |          | _                | $\vdash$      |            | _     |     |         | _       |          | -             |               | _      | ++   | +           | _        | +             |     | _       | $\vdash$         | with           |
| GC Gateway Process               | Gate 5 Operations Review & Benefits   | SRO,PB,PMO, JC        |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | disc           |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | with           |
| roject Implementation Plan       | Live document                         | SRO, PMO, PB, JC, JSC |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      | Т           |          |               |     |         |                  | Dra            |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | date           |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | bus            |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              |                |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         | - 1  |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | dev            |
| rolect business case             | Live document                         | SRO, PMO, PB, JC, JSC |                   | +             | +        | +           | +       | $\dashv$ |               | +        |          | +           | -1-  | +        | +            |     | +        | +             | +       | +        | _   | +   |            | +       | +        |                  | -             | +          | _     |     | +       | -       |          | +             | +             | _      | 1 +  | +           | _        | +             | +   | _       | t - t            | Dev            |
| .,                               |                                       |                       |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         |      |          |              |     |          | 1.            | 1.      |          | - 1 | 1 1 | -          | ΙΙ,     | .        |                  |               |            | *     |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | in li          |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         |         |          |               |          |          | 1 1         |      |          |              | *   |          | 1 ^ [         | 1 ^     |          | - 1 | 1 1 | 1.         | 11.     | 1        | - [              | 1 ^ I .       | - [        | -     |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | Ga             |
| roject issues log                | Live document                         | SRO PMO PR JC JSC     |                   | +             | +        | +           | +       | +        | $\vdash$      | x x      |          | +++         |      | ++       | . + +        | -   | x        | +-+           | +++     | ++       | _   | ++  | _          | +       | +        | -                | +             | +          | _     |     | +       | _       |          | +             | +             | _      | -    | ++          |          | +             | +   |         | -                | pro            |
| roject risk reg                  | Live document                         | SRO, PMO, PB, JC, JSC |                   | +             | +        | +           | +       | +        | $\vdash$      | x x      | x ×      | +++         | * ×  | ++       | <del>.</del> | x   | 1.       | +÷+           | 1 2     | 1        | -   | ++  | *          | -       | -        | -                | Н.            |            | *     |     |         | -       | ×        | +             | *             | *      |      | ×           | -        | +             | +   |         | -                |                |
| oject M & E plan                 | Live document                         | SRO, PMO, PB, JC, JSC |                   | +             | +        | +           | +       | $\dashv$ |               |          | ^        | +^+         | x x  |          | .            | *   | x        | 1 î           | 1.      | ı,       | x   | +   | x          | x       | x        | ×                | 1 1           | x          | *     |     |         | ×       |          |               |               | x      |      | x           |          |               | +   | _       | t - t            | +              |
| and Welsh Gov Policy Workshops   | Meetings                              | SRO, PMO              |                   | +             |          | +           | +       | $\dashv$ |               |          |          |             |      |          |              |     |          |               | - ^     |          |     |     |            | *       |          |                  |               |            |       | 1   | 1       | - 1     | - ^      | +             | -             |        |      | - *         | - 1      | +             | +   | _       | <del>     </del> | +              |
|                                  | -                                     |                       |                   | -             |          |             | +       | $\dashv$ |               | $\dashv$ | r r      | 17          |      |          | 11           |     | -        |               | +       | $\dashv$ |     | +   |            | -       | +        | -                |               | +          |       |     | +       |         |          |               | $\dashv$      |        |      | +           |          |               | +   |         | t t              | -              |
|                                  |                                       |                       |                   |               |          |             | 1 1     |          |               | $\neg$   |          |             |      | T        |              |     |          |               | 11      |          |     | TT  |            |         | 11       |                  |               | $\top$     |       |     | $\top$  |         |          |               | 11            |        |      | +           |          |               |     |         |                  | $\neg$         |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         | $\Box$   |                  |               |            |       |     |         |         |          |               |               |        |      | $\top$      |          |               |     |         |                  | $\neg$         |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          | 17          |      |          |              |     |          |               | -       |          |     | T   | t_         |         | 1 1      | t_               |               | 17         |       |     | 1 1     | 1       |          |               | 11            |        |      | $\tau \tau$ |          |               |     |         |                  |                |
|                                  | •                                     |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  |                |
| dit and risk                     |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  |                |
| adit                             | Audit                                 | SRO, PB, PMO, JC      |                   |               |          |             |         |          | WA WA         | WA       |          |             |      |          |              |     |          |               |         |          |     | -   |            |         |          |                  |               |            |       |     |         |         |          |               | -             |        |      | $\neg$      |          |               |     |         |                  | $\neg$         |
|                                  | 1                                     | 1                     |                   | 1 1           | 1 1      | 1 1         | IA      | IA IA    | O/N O/N       | O/N      |          | 1 1         | IA   |          |              | - 1 |          | 1 1           | 1 1     |          | - 1 | 1 1 | - 1        | 1 1     |          | - 1              |               |            |       |     |         |         |          | 1 1           |               | - 1    |      |             |          | 1 1           |     |         | 1 1              | ann            |
| isk Critical Friends             | Meetings                              | PMO, IA, Proc, Risk   |                   | ++            | +        | + $+$       | ++      | +        | AO AO         | AO V     |          | +.+         | x x  | +        |              | ×   | ++-      | +             | +       | +        |     | ++  | +-         | ++.     | .++      | +                | ++            | +          | -     | ,   | +       | x       |          | ++            | +             |        | ++.  | x           |          | ++            | +   | +       | ++               | +              |
| essons learned workshop          | Meetings                              | Partners              | <del> </del>      | -             | +        | +           | +       | +        | $\vdash$      | - 1 * 1  | *        | +^+         | ^ *  | ++       | 1            | ×   |          | -             | +       | +        | _   | ++  | ×          | ++      | · ·      | -                | +             | +          | _     | ,   |         | ×       |          | +             | +             | _      |      | x           | <u> </u> | +             | +   |         | -                | +              |
| omms group                       | Meetings                              | Partners              |                   | ++            | +        | +           | ++      | +        | $\vdash$      | x        |          | +           | ×    | ++       | -            | ×   |          |               | ++      | +        | -   | ++  | x          |         |          | +                | ++            | +          | _     |     |         | x       |          | +             | +             | -      |      | x           |          |               | +   | _       |                  | +              |
| onnia group                      |                                       |                       | <del> </del>      | -             | +        | +           | +       | -1-1     | $\vdash$      | -        | *   *    | +           | - *  | ++       | 1.           | - × | ×        | +             | +       | +        | _   | ++  | - ×        | ++      | $\vdash$ | -                | +             | +          | _     | ++' | +       | ^       |          | +             | $\rightarrow$ | _      |      | ×           | _        | +             | +   |         | ++               | +              |
|                                  | 1                                     | +                     | <del> </del>      | -             | +        | +           | +       | -1-1     | $\vdash$      | -        |          | +           | _    | ++       | 1 1          | _   | +        | +             | +       | +        | _   | ++  | _          | +       | +        | -                | +             | +          | _     | -   | +       |         |          | +             | $\rightarrow$ |        |      |             |          | +             | +   |         | ++               | +              |
|                                  | 1                                     | t                     |                   | +             | +        | +           | +       | $\dashv$ |               | +        |          | +           | -1-  | +        | +            |     | +        | +             | +       | +        |     | +   |            | +       | +        |                  | -             | +          | _     |     | +       | -       |          | +             | +             | _      | 1 +  | +           | _        | +             | +   | _       | t - t            | +              |
|                                  | 1                                     |                       |                   |               | -        | +           | -       |          |               | -        | $\vdash$ |             |      | -        |              |     |          |               |         | _        |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      |             |          |               |     |         |                  | -              |
|                                  |                                       |                       |                   |               |          |             |         |          |               |          |          |             |      |          |              |     |          |               |         |          |     |     |            |         |          |                  |               |            |       |     |         |         |          |               |               |        |      | -           |          |               |     |         | 1 1              | - 1            |



## Skills and Talent

## Integrated Assurance and Approval Plan

|                                   |                                       |                       | •                |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     |        |              | _      |                 |
|-----------------------------------|---------------------------------------|-----------------------|------------------|---------|---------|---------|-----|-----|-----|-----|-----|-----|-----|-----|--------|-----|--------|-------------|-----|--------|--------------|--------|-----------------|
| A                                 | A selected Dans door                  | Delen en ellent       | Last and an data |         | 1       | 2020/   |     |     |     |     |     |     | 4   |     |        |     | 2021/2 | :022        |     |        |              | 4      | Comments        |
| Assurance / approval / Reporting  | Activity/Product                      | Primary client        | Last review date | Apr     | Мау     | Jun     | Jul | Sep | Oct | S S | Jan | Feb | Apr | May | Inc.   | Aug | Sep    | N<br>N<br>Q | Dec | Jan    | Feb          | Mar    |                 |
| Governance                        |                                       |                       | •                |         | •       | 1       |     |     |     |     | -   |     | _   |     |        |     |        |             |     |        | _            |        | _               |
| Project board                     | Live document                         | CCC, PCC, CCS, NPT    | Jan-20           | x       | x       | х       | x > | x x | х   | x x | _   | X : | х х | x 2 | х х    | х   | х      | x x         | x x | х      |              | _      | monthly meeting |
| Carmarthenshire County Council    | Council Meetings                      | CCC                   | Jan-20           | х       | х       | x       | x > | x x | х   | x x |     | х : | x x | x : | x x    | х   | х      | x x         | . х | х      | х            |        | monthly meeting |
| RLSP                              | Meetings                              | RLSP                  | Jan-20           | x       | x       | x       | х   | х   | х   | x x | x   | x : | x x | x 2 | x x    |     | х      | x x         | x x | х      | х            | х      | monthly meeting |
| Regional LAs                      | Council Meetings                      | CCC, SCC, NPTC, PCC   | Jan-20           |         |         | x       |     |     | х   |     |     |     |     |     |        |     |        |             |     |        | Ш            |        |                 |
| Project Partners                  | Meetings                              | Partners              | Jan-20           | x       | x       | x       | x > | x x | x   | x x | x   | x   | x x | x 2 | x x    | x   | x      | x x         | x   | х      | x            | x      | monthly meeting |
| Joint Committee                   | Meetings                              | SRO, JC, PMO          | Jan-20           | x       | x       | x       | x > | x x | х   | x x | x   | x   | х х | x : | х х    | x   | х      | x x         | x   | х      | х            | х      | monthly meeting |
| Programme Board                   | Meetings                              | SRO, PB,PMO           | Jan-20           | х       | х       | x       | x > | x x | х   | x x | x   | x   | х х | x : | х х    | х   | х      | x x         | x   | х      | x            | х      | monthly meeting |
| Ec Strategy Board                 | Meetings                              | SRO,ESB.PMO           | Jan-20           | х       | х       | х       | x > | x x | х   | х х | x   | x : | х х | x : | х х    | х   | х      | хх          | x   | х      | x            | х      | monthly meeting |
| JSC                               | Meetings                              | SRO, JSC, PMO         | Jan-20           |         | x       |         | х   | х   |     | x   | х   |     | к х | х : | х х    | x   | х      | хх          | x   | х      | х            | х      | monthly meeting |
|                                   |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     | T      |              |        |                 |
|                                   |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     |        |              |        | 1               |
|                                   | •                                     |                       |                  |         |         |         |     | •   |     |     |     |     | -   |     |        |     | - 1    |             |     |        |              |        |                 |
| Assurance                         |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     |        |              |        |                 |
| OGC Gateway Process               | Risk Potential Assessment             | SRO, PB, PMO          |                  | I       |         | l       | П   | T   | П   |     |     | П   | Т   | П   |        |     | T      | т           | Т   | T      | т            | $\neg$ |                 |
| OGC Gateway Process               | Gate 0 Programme Strategic Assessment | SRO, PB, PMO, JC      | Jun-21           |         |         |         |     |     |     |     |     |     |     | 1 1 | x      |     |        | $\neg$      | +   | _      | $\top$       | х      |                 |
| OGC Gateway Process               | Gate 1 Business Justification         | SRO, PB, PMO, JC      | TBC              |         |         |         |     | 1   |     |     |     |     |     |     |        |     |        |             | +-  | _      | $\mathbf{T}$ |        | 1               |
| OGC Gateway Process               | Gate 2 Delivery Strategy              | SRO, PB, PMO, JC      | TBC              |         |         |         |     |     |     |     | _   |     | +   |     | $\top$ | -   |        | +           | +   | +      | +            | +      | 1               |
| OGC Gateway Process               | Gate 3 Investment Decision            | SRO, PB, PMO, JC      | TBC              |         |         |         |     | 1   |     |     |     |     |     |     |        |     |        |             | +-  | _      | $\mathbf{T}$ |        | 1               |
| OGC Gateway Process               | Gate 4 Readiness for Service          | SRO, PB, PMO, JC      | TBC              |         |         |         |     |     |     |     |     |     | 1   |     |        |     |        | 一           | 1   | $\top$ | Ħ            | $\neg$ | •               |
| OGC Gateway Process               | Gate 5 Operations Review & Benefits   | SRO,PB,PMO, JC        | TBC              |         |         |         |     |     |     |     |     |     | 1   |     |        |     |        | 一           | 1   | $\top$ | Ħ            | $\neg$ | •               |
| Project Implementation Plan       | Live document                         | SRO, PMO, PB, JC, JSC | Jan-20           | х       |         |         | х   |     | х   |     | х   |     | x   |     | х      |     |        | x           | 1   | х      | Ħ            | $\neg$ | •               |
| Project business case             | Live document                         | SRO, PMO, PB, JC, JSC |                  |         |         |         |     |     |     |     |     |     |     | t   |        |     |        | $\neg$      | +   | _      | $\top$       | $\neg$ |                 |
| Project issues log                | Live document                         | SRO, PMO, PB, JC, JSC | Jan-20           |         | х       |         | х   | ×   |     | х   | х   |     | x   | х   | х      |     | х      | x           |     | х      | $\top$       | х      |                 |
| Project risk reg                  | Live document                         | SRO, PMO, PB, JC, JSC | Jan-20           |         | х       |         | х   | ×   |     | х   | х   |     | x   | х   | х      |     | х      | x           |     | х      | $\top$       | х      |                 |
| Project M & E plan                | Live document                         | SRO, PMO, PB, JC, JSC |                  |         |         |         |     | 1   |     |     |     |     |     |     |        |     |        |             | +-  | _      | $\mathbf{T}$ |        | 1               |
| Uk and Welsh Gov Policy Workshops | Meetings                              | SRO, PMO              | Jan-20           |         |         |         |     |     |     |     |     |     |     | t   |        |     |        | $\neg$      | +   | _      | $\top$       | $\neg$ |                 |
|                                   |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     | t   |        |     |        | $\neg$      | +   | _      | $\top$       | $\neg$ |                 |
|                                   |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     | t   |        |     |        | $\neg$      | +   | _      | $\top$       | $\neg$ |                 |
|                                   |                                       |                       |                  |         |         |         |     | 1   |     |     |     |     |     |     |        |     |        |             | +-  | _      | $\mathbf{T}$ |        | 1               |
|                                   |                                       |                       |                  |         |         |         | tt  | 1   | ++  | +   | _   |     | 1   |     | +      | -   | _      | +           | +-  | +      | t            | $\neg$ | <b>†</b>        |
|                                   | <u> </u>                              | 1                     |                  | 1       | Į.      | I       |     |     |     |     | _   |     |     |     |        |     |        |             |     | —      |              | _      |                 |
| Audit and risk                    |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     |        |              |        |                 |
| Audit                             | Audit                                 | SRO, PB, PMO, JC      | I                | WAO/NAO | WAO/NAO | WAO/NAO |     |     | T   |     |     | 1 1 | T   | T   |        |     | 1      | 一           | 一   | 一      | 一            | 一      | annual audit    |
| Risk Critical Friends             | Meetings                              | PMO, IA, Proc, Risk   |                  |         |         |         | t   | 1   | х   | x x |     | t   | 1   | t   | 1      | Ħ   |        | +           | 1   | +      | +            | $\neg$ |                 |
| Lessons learned workshop          | Meetings                              | Partners              |                  |         |         |         |     |     |     |     |     | х   |     |     |        |     |        |             | 1   |        | $\Box$       |        | 1               |
| Comms group                       | Meetings                              | Partners              |                  |         |         | х       |     | х   |     | х   |     |     | ĸ   |     |        |     |        |             |     | I      |              |        |                 |
|                                   |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     |        | Ш            |        |                 |
|                                   |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     |        |              |        |                 |
|                                   |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     |        | T            |        |                 |
|                                   |                                       |                       |                  |         |         |         |     |     |     |     |     |     |     |     |        |     |        |             |     | Т      |              |        |                 |